

## References

The classical papers on this subject are:

- Matsuno (1966), J. Meteor. Soc. Japan
- Longuet-Higgins (1968) Phil. Trans. Roy. Soc.
- Webster (1972) Mon. Wea. Rev.
- Gill (1980) Quart. J. Roy. Meteor. Soc.
$>$ A relatively recent review is given by Lim and Chang (1987) In Monsoon Meteorology, Ed. C. P. Chang and T. N. Krishnamurti, Oxford Univ. Press


## Tropics versus Middle Latitudes



Theory of wave motions in a divergent barotropic fluid on an f-plane


## Linearized "shallow-water" equations

$$
\begin{aligned}
& \partial_{\mathrm{t}} \mathrm{u}-\mathrm{fv}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \\
& \partial_{\mathrm{t}} \mathrm{v}+\mathrm{fu}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \\
& \partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}+\partial_{\mathrm{y}} \mathrm{v}=0
\end{aligned}
$$

On an f-plane put

$$
\begin{aligned}
v & =\hat{v} \sin (k x-\omega t) \\
(u, \eta) & =(\hat{u}, \hat{\eta}) \cos (k x-\omega t)
\end{aligned}
$$

$$
\square \quad \omega\left(\omega^{2}-\mathrm{f}^{2}-\mathrm{c}^{2} \mathrm{k}^{2}\right)=0
$$

## Dispersion relation

$$
\omega\left(\omega^{2}-f^{2}-c^{2} k^{2}\right)=0
$$

$$
\omega=0 \quad \text { or } \quad \omega^{2}=\mathrm{f}^{2}+\mathrm{c}^{2} \mathrm{k}^{2}
$$

Steady (geostrophic) flow
Inertia gravity waves

## Inertia-gravity waves

$$
\begin{gathered}
\omega^{2}=\mathrm{f}^{2}+\mathrm{c}^{2} \mathrm{k}^{2} \\
\mathrm{c}_{\mathrm{p}}=\frac{\omega}{\mathrm{k}}= \pm \sqrt{\left(\mathrm{c}^{2}+\frac{\mathrm{f}^{2}}{\mathrm{k}^{2}}\right)}= \pm \mathrm{c} \sqrt{\left(1+\frac{1}{\mathrm{~L}_{\mathrm{R}}^{2} \mathrm{k}^{2}}\right)}
\end{gathered}
$$

$L_{R}=c / f$ is the Rossby radius of deformation

The importance of inertial effects compared with gravitational effects is characterized by the size of the parameter $L_{R}{ }^{2} k^{2}$, i.e. by the wavelength of waves compared with the Rossby radius of deformation.

## Wave motions in a divergent barotropic fluid on a mid-latitude $\beta$-plane

Now $f$ is a function of $y$ (specifically, where $f=f_{0}+\beta y, f_{0} \neq 0$ )

$$
\begin{gathered}
\partial_{\mathrm{t}} \mathrm{u}-\mathrm{f}(\mathrm{y})=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \quad \partial_{\mathrm{t}} \mathrm{u}-\mathrm{f}_{0} \mathrm{v}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \\
\partial_{\mathrm{t}} \mathrm{v}+\mathrm{f}(\mathrm{y}) \mathrm{u}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \quad \partial_{\mathrm{t}} \zeta+\beta \mathrm{v}=\mathrm{f}_{0} \partial_{\mathrm{t}} \eta \\
\partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}+\partial_{\mathrm{y}} \mathrm{v}=0 \\
\zeta=\mathrm{v}_{\mathrm{x}}-\mathrm{u}_{\mathrm{y}} \\
\square\left(\omega^{2}-\mathrm{c}^{2} \mathrm{k}^{2}\right)(\omega \mathrm{k}+\beta)-\mathrm{f}_{0}^{2} \omega \mathrm{k}=0
\end{gathered}
$$

## Dispersion relation

$$
\left(\omega^{2}-c^{2} k^{2}\right)(\omega k+\beta)-f_{0}^{2} \omega k=0
$$

Write $\omega=f_{0} v$ and $k=\mu / L_{R}$

$$
\square\left(v^{2}-\mu^{2}\right)(v \mu+\varepsilon)-v \mu=0
$$

Solution $\omega=0$ now becomes

Divergent Rossby waves

$$
\omega=-\frac{\beta k}{k^{2}+1 / L_{R}^{2}}
$$

Inertia-gravity waves

$$
\omega^{2}=f^{2}+c^{2} k^{2}
$$

## Kelvin wave

A wave that owes its existence to the presence of a boundary


$$
\begin{aligned}
& \partial_{\mathrm{t}} \mathrm{u}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \\
& \mathrm{fu}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \\
& \partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}=0
\end{aligned} \quad \begin{aligned}
& \quad \begin{array}{l}
\mathrm{tt}
\end{array} \\
& \quad \eta=\mathrm{F}(\mathrm{x}-\mathrm{ct}, \mathrm{y})+\mathrm{G}(\mathrm{x}+\mathrm{ct}, \mathrm{y})
\end{aligned}
$$

Put $\mathrm{X}=\mathrm{x}-\mathrm{ct}, \mathrm{Y}=\mathrm{x}+\mathrm{ct}$

$$
\partial_{\mathrm{x}} \mathrm{u}=-\partial_{\mathrm{t}} \eta=\mathrm{c}\left(\partial_{\mathrm{x}} \mathrm{~F}-\partial_{\mathrm{x}} \mathrm{G}\right)=\mathrm{c}\left(\partial_{\mathrm{x}} \mathrm{~F}-\partial_{\mathrm{x}} \mathrm{G}\right)
$$

Integrate $\partial_{\mathrm{x}} \mathrm{u}=\mathrm{c}\left(\partial_{\mathrm{x}} \mathrm{F}-\partial_{\mathrm{x}} \mathrm{G}\right)$
$\mathrm{u}=\mathrm{c}(\mathrm{F}-\mathrm{G})$

$$
\begin{gathered}
\mathrm{fu}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \\
\square \mathrm{fc}[\mathrm{~F}-\mathrm{G}]=-\mathrm{c}^{2}\left[\partial_{\mathrm{y}} \mathrm{~F}+\partial_{\mathrm{y}} \mathrm{G}\right]
\end{gathered}
$$

Since F and G are arbitrary functions

$$
\partial_{\mathrm{y}} \mathrm{~F}+(\mathrm{f} / \mathrm{c}) \mathrm{F}=0 \quad \text { and } \quad \partial_{\mathrm{y}} \mathrm{G}-(\mathrm{f} / \mathrm{c}) \mathrm{G}=0
$$

$$
\begin{aligned}
& \partial_{\mathrm{y}} \mathrm{~F}+(\mathrm{f} / \mathrm{c}) \mathrm{F}=0 \quad \longleftrightarrow \mathrm{~F}=\mathrm{F}_{0}(\mathrm{X}) \mathrm{e}^{-\mathrm{fy} / \mathrm{c}} \\
& \partial_{\mathrm{y}} \mathrm{G}-(\mathrm{f} / \mathrm{c}) \mathrm{G}=0 \quad \longleftrightarrow \mathrm{G}=\mathrm{G}(\mathrm{r}) \mathrm{e}^{\mathrm{yy} / \mathrm{c}} \text { if } \mathrm{y} \rightarrow \infty \\
& \square \begin{array}{l}
\mathrm{\eta}=\mathrm{F}_{0}(\mathrm{x}-\mathrm{ct}) \mathrm{e}^{-\mathrm{fy} / \mathrm{c}} \\
\mathrm{u}=\mathrm{cF}_{0}(\mathrm{x}-\mathrm{ct}) \mathrm{e}^{-\mathrm{fy} / \mathrm{c}}
\end{array}
\end{aligned}
$$

The Kelvin wave is essentially a gravity wave that is "trapped" along the boundary by the rotation.
$>$ The velocity perturbation $u$ is always such that geostrophic balance occurs in the y-direction


Flow configuration in a Kelvin wave

The solution

$$
\eta=G_{0}(x+c t) e^{f y / c}
$$

represents a trapped wave moving at speed c with the boundary on the right (left) in the Northern (Southern) Hemisphere when $\mathrm{f}>0(\mathrm{f}<0)$.

## The equatorial beta-plane approximation

At the equator, $\mathrm{f}_{0}=0$, but $\beta$ is a maximum.

Near the equator, set $\mathrm{f}=\beta \mathrm{y}$.
This equatorial beta-plane approximation that may be derived from the equations for motion on a sphere (see e.g. Gill, 1982).

$$
\begin{array}{ll}
\partial_{\mathrm{t}} \mathrm{u}-\beta \mathrm{yv}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta & \partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}+\partial_{\mathrm{y}} \mathrm{v}=0 \\
\partial_{\mathrm{t}} \mathrm{v}+\beta \mathrm{yu}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta & \partial_{\mathrm{t}}(\zeta-\mathrm{f} \eta)+\beta \mathrm{v}=0
\end{array}
$$

$$
\partial_{\mathrm{t}}\left[\left(\partial_{\mathrm{tt}} \mathrm{v}+\mathrm{f}^{2} \mathrm{v}\right) / \mathrm{c}^{2}-\left(\partial_{\mathrm{xx}} \mathrm{v}+\partial_{\mathrm{yy}} \mathrm{v}\right)\right]-\beta \partial_{\mathrm{x}} \mathrm{v}=0
$$

$$
\text { Put } \quad \mathrm{v}=\hat{\mathrm{v}}(\mathrm{y}) \exp [\mathrm{i}(\mathrm{kx}-\omega \mathrm{t})]
$$

$$
\square \frac{d^{2} \hat{v}}{d y^{2}}+\left[\frac{\omega^{2}}{c^{2}}-k^{2}-\frac{\beta k}{\omega}-\frac{\beta^{2} y^{2}}{c^{2}}\right] \hat{\mathrm{v}}=0
$$

Scale the independent variables $t, x, y$, using the time scale $(\beta \mathrm{c})^{-1 / 2}$ and length scale $(\mathrm{c} / \beta)^{1 / 2}$.
the equatorial Rossby radius $\mathrm{L}_{\mathrm{E}}$ Scale $\omega=(c \beta)^{1 / 2} v$ and $k=\mu(c / \beta)^{-1 / 2}$.

## Schrödinger's Wave Equation

$$
\frac{\mathrm{d}^{2} \hat{\mathbf{v}}}{\mathrm{dy}}{ }^{2}+\left[v^{2}-\mu^{2}-\frac{\mu}{v}-\mathrm{y}^{2}\right] \hat{\mathrm{v}}=0
$$

Schrödinger's equation - arises in quantum mechanics

Solutions that are bounded as $\mathrm{y} \rightarrow \pm \infty$ are possible only if

$$
v^{2}-\mu^{2}-\mu v^{-1}=2 n+1,(\mathrm{n}=0,1,2, \ldots)
$$

These solutions have the form of parabolic cylinder functions

## In dimensional terms

$$
v(x, y, t)=H_{n}\left((\beta / c)^{1 / 2} y\right) \exp \left(-\beta y^{2} / 2 c\right) \cos (k x-\omega t)
$$

Multiply by $2^{-n / 2}$


$$
v(x, y, t)=D_{n}\left((\beta / c)^{1 / 2} y\right) \cos (k x-\omega t)
$$

$D_{n}$ is the parabolic cylinder function of order $n$ and $H_{n}$ is the Hermite polynomial of order $n$.

## Dispersion relation

$$
\omega^{2} / c^{2}-k^{2}-\beta k / \omega=(2 n+1) \beta / c
$$

A cubic equation for $\omega$ for each value of $n$

A whole range of wave modes is possible:

* The equatorial Kelvin wave
* Equatorial gravity waves
* Rossby waves
* The mixed gravity-Rossby wave


## The equatorial Kelvin Wave

$$
\begin{gathered}
\begin{array}{l}
\partial_{\mathrm{t}} \mathrm{u}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \\
\beta \mathrm{y} \mathbf{u}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \\
\partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}=0
\end{array} \\
\begin{array}{l}
\text { cf } \\
\partial_{\mathrm{tt}} \eta=c^{2} \partial_{\mathrm{xx}} \eta
\end{array} \\
\begin{array}{l}
\partial_{\mathrm{t}} \mathrm{u}=-\mathrm{c}^{2} \partial_{\mathrm{x}} \eta \\
\mathrm{fu}=-\mathrm{c}^{2} \partial_{\mathrm{y}} \eta \\
\partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}=0
\end{array} \\
\eta=\mathrm{F}(\mathrm{x}-\mathrm{ct}, \mathrm{y})+\mathrm{G}(\mathrm{x}+\mathrm{ct}, \mathrm{y})
\end{gathered}
$$

As before

$$
\begin{aligned}
& \partial_{\mathrm{y}} \mathrm{~F}+(\beta \mathrm{y} / \mathrm{c}) \mathrm{F}=0 \\
& \partial_{\mathrm{y}} \mathrm{G}-(\beta \mathrm{y} / \mathrm{c}) \mathrm{G}=0
\end{aligned}
$$

$$
\square \quad F=F_{0}(X) \exp \left(-\beta y^{2} / 2 c\right)
$$

Note that $\mathrm{G} \rightarrow \infty$ as $\mathrm{y} \rightarrow \pm \infty$

## The equatorial Kelvin wave

$$
\begin{aligned}
& \eta(x, y, t)=F_{0}(x-c t) \exp \left(-\beta y^{2} / 2 c\right) \\
& u(x ; y, t)=c F_{0}(x-c t) \exp \left(-\beta y^{2} / 2 c\right. \\
& v(x, y, t)=0
\end{aligned}
$$

The wave is an eastward propagating gravity wave that is trapped in the equatorial waveguide by Coriolis forces.
$>$ Note that it is nondispersive and has a meridional scale on the order of $L_{E}=(c / \beta)^{1 / 2}$.

## Equatorial Gravity Waves

Dispersion relation

$$
\omega^{2} / c^{2}-k^{2}-\beta k / \omega=(2 n+1) \beta / c
$$

For $\mathrm{n} \geq 1$, the waves subdivide into two classes of solutions:

Case $\beta \mathrm{k} / \omega$ small:

$$
\omega^{2} \approx(2 \mathrm{n}+1) \beta \mathrm{c}+\mathrm{k}^{2} \mathrm{c}^{2}
$$

These waves are equatorially-trapped gravity waves, or equatorially-trapped Poincaré waves.

## Equatorial Rossby Waves

Case $\omega^{2} / \mathrm{c}^{2}$ small:
Dispersion relation $\omega^{2} / c^{2}-k^{2}-\beta k / \omega=(2 n+1) \beta / c$

$$
\square \omega \approx \frac{-\beta \mathrm{k}}{\mathrm{k}^{2}+(2 \mathrm{n}+1) \beta / \mathrm{c}}
$$

These waves are equatorially-trapped planetary waves, or equatorially-trapped Rossby waves.

## The mixed Rossby - Gravity Wave

When $\mathrm{n}=0$

$$
v^{2}-\mu^{2}-\mu v^{-1}=2 n+1, \quad(\mathrm{n}=0,1,2, \ldots)
$$


$(v+\mu)(v-\mu-1 / v)=0$


Indeterminate solution for u
$\omega_{+}=\frac{1}{2} k c+\left[\frac{1}{4} k^{2} c^{2}+c \beta\right]^{1 / 2}$

- an eastward propagating gravity wave
$\omega_{-}=\frac{1}{2} k c-\left[\frac{1}{4} k^{2} c^{2}+c \beta\right]^{1 / 2} \quad-$ a gravity wave if $k$ is small
- a Rossby wave if k is large
as $\mathrm{k} \rightarrow 0, \omega \rightarrow-(\mathrm{c} \beta)^{1 / 2}$, which agrees with the limit of the gravity wave solution.
$>$ as $\mathrm{k} \rightarrow \infty, \omega \approx-\beta / \mathrm{k}$, which agrees with the limit of the Rossby wave solution.
$\Rightarrow$ The solution $\mathrm{n}=0$ is called a mixed Rossby-gravity wave.
$>$ The phase velocity of this mode can be either eastward or westward, but the group velocity is always eastward.

The Kelvin wave solution is sometimes called the $\mathrm{n}=-1$ wave because

$$
\omega^{2} / \mathrm{c}^{2}-\mathrm{k}^{2}-\beta \mathrm{k} / \omega=(2 \mathrm{n}+1) \beta / \mathrm{c}
$$

is satisfied by the Kelvin-wave dispersion relation (i.e. $\omega=\mathrm{kc}$ ) when $\mathrm{n}=-1$ (last term is then $-\beta \mathrm{k} / \omega$ ).



## Structure of wave modes

Substitute $\quad v=\hat{v} \sin (k x-\omega t)$

$$
(\mathrm{u}, \eta)=(\hat{\mathrm{u}}, \hat{\eta}) \cos (\mathrm{kx}-\omega \mathrm{t})
$$

in $\quad \partial_{t} u-\beta y v=-c^{2} \partial_{x} \eta$

$$
\omega \hat{u}-\beta y \hat{v}=\mathrm{kc}^{2} \hat{\eta}
$$

$$
\partial_{\mathrm{t}} \eta+\partial_{\mathrm{x}} \mathrm{u}+\partial_{\mathrm{y}} \mathrm{v}=0
$$

$$
\omega \hat{\eta}-\mathrm{k} \hat{\mathrm{u}}+\frac{\mathrm{d} \hat{\mathrm{v}}}{\mathrm{dy}}=0
$$

Solve for
$\hat{\mathrm{u}}, \hat{\eta}$

Scale and put $y=L_{E} Y\left\{\begin{array}{l}\left(v^{2}-\mu^{2}\right) \hat{u}=v Y \hat{v}-\mu \frac{d \hat{v}}{d Y} \\ \left(v^{2}-\mu^{2}\right) \hat{\eta}=\mu Y \hat{v}-v \frac{d \hat{v}}{d Y}\end{array}\right.$
Now

$$
\begin{aligned}
& \hat{\mathrm{v}}(\mathrm{Y})=\hat{v}_{\mathrm{n}}=\exp \left(-\frac{1}{2} Y^{2}\right) H_{\mathrm{n}}(Y) \\
& \frac{d \hat{\mathrm{v}}}{d Y}=-Y \hat{v}_{\mathrm{n}}+\exp \left(-\frac{1}{2} Y^{2}\right) \frac{d H_{n}}{d Y}
\end{aligned}
$$

and

Properties of the
Hermite polynomials

$$
\left\{\begin{array}{c}
\frac{d H_{n}}{d Y}=2 \mathrm{nH}_{\mathrm{n}-1}(\mathrm{Y}) \\
H_{\mathrm{n}+1}(\mathrm{Y})=2 \mathrm{YH}_{\mathrm{n}}(\mathrm{Y})-2 \mathrm{nH}_{\mathrm{n}-1}(\mathrm{Y})
\end{array}\right.
$$

$$
\int\left\{\begin{array}{l}
\left(v^{2}-\mu^{2}\right) \hat{u}_{\mathrm{n}}=\frac{1}{2}(v+\mu) \hat{\mathrm{v}}_{\mathrm{n}+1}+\mathrm{n}(v-\mu) \hat{\mathrm{v}}_{\mathrm{n}-1} \\
\left(v^{2}-\mu^{2}\right) \hat{\eta}_{\mathrm{n}}=\frac{1}{2}(v+\mu) \hat{\mathrm{v}}_{\mathrm{n}+1}-\mathrm{n}(v-\mu) \hat{\mathrm{v}}_{\mathrm{n}-1}
\end{array}\right.
$$



## The equatorial Kelvin Wave



The mixed Rossby-gravity wave


The equatorial Rossby wave



