



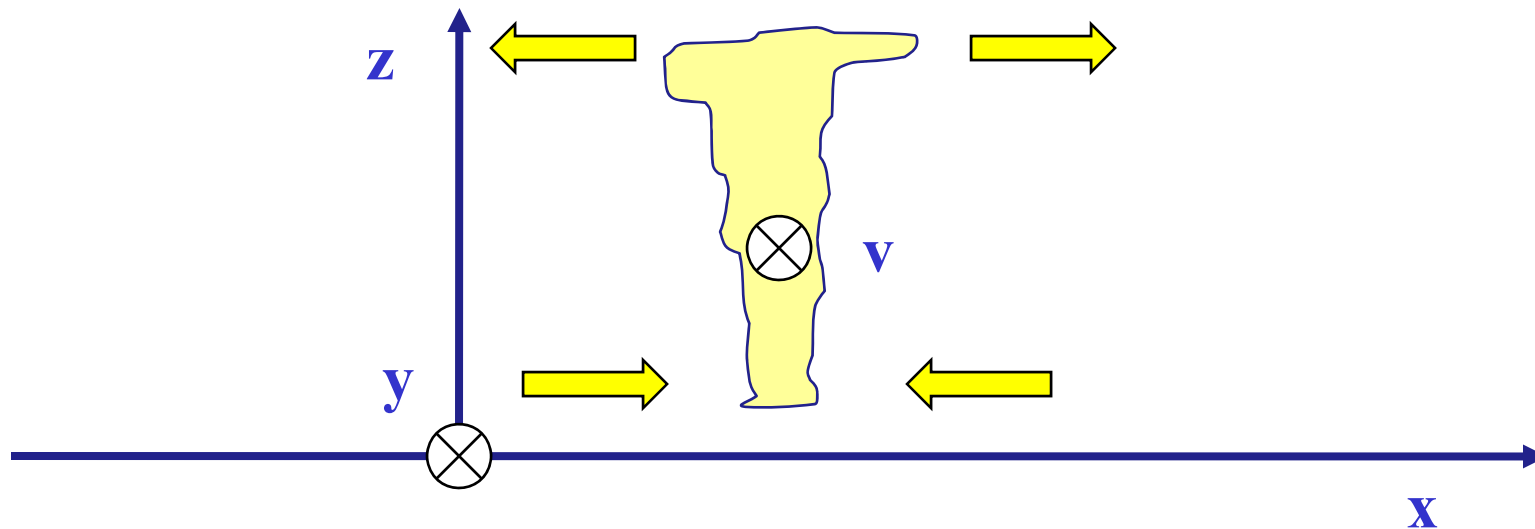
**Slab-symmetric and axi-symmetric  
models**

**Balanced evolution of tropical  
cyclones**

**Slab-symmetric**

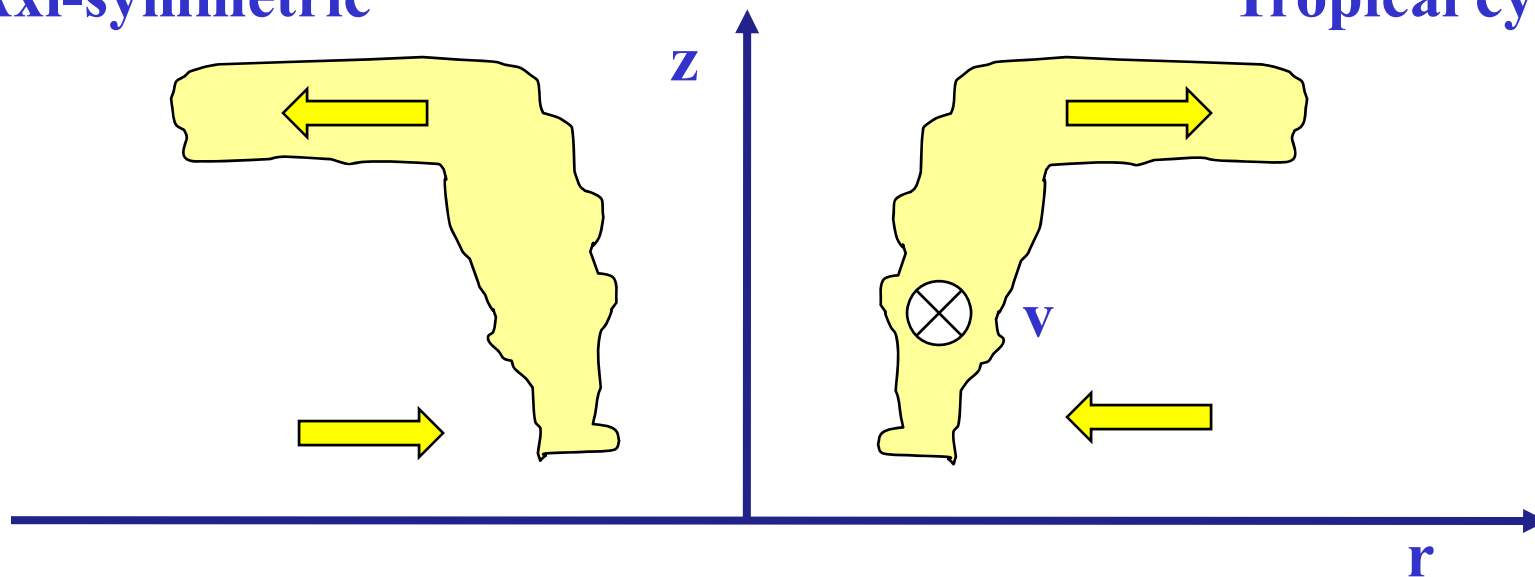
**f-plane**

**Hadley circulation**



**Axi-symmetric**

**Tropical cyclone**



## Slab-symmetric

$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + w \frac{\partial v}{\partial z} + fu = \dot{V}$$

$$\zeta = \frac{\partial v}{\partial x}$$



$$\frac{\partial v}{\partial t} + u(\zeta + f) + wS = \dot{V}$$

$$\frac{\partial b}{\partial t} + u \frac{\partial b}{\partial x} + w \left( N^2 + \frac{\partial b}{\partial z} \right) = \dot{B}$$

$$fS = f \frac{\partial v}{\partial z} = \frac{\partial b}{\partial x}$$

$$\frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

$$u = \frac{\partial \psi}{\partial z} \quad w = -\frac{\partial \psi}{\partial x}$$

**Thermal wind**

## Axi-symmetric

$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial r} + w \frac{\partial v}{\partial z} + \frac{v^2}{r} + fu = 0$$

$$\zeta = \frac{\partial v}{\partial r} + \frac{v}{r}$$

$$\frac{\partial \chi}{\partial t} + u \frac{\partial \chi}{\partial r} + w \frac{\partial \chi}{\partial z} = -\chi^2 \dot{\theta}$$

$$\chi = 1/\theta$$

$$g \frac{\partial(\ln \chi)}{\partial r} + C \frac{\partial(\ln \chi)}{\partial z} = -\frac{\partial C}{\partial z}$$

$$\frac{\partial}{\partial r} \rho r u + \frac{\partial}{\partial r} \rho r z = 0$$

$$u = -\frac{1}{r\rho} \frac{\partial \psi}{\partial z} \quad w = \frac{1}{r\rho} \frac{\partial \psi}{\partial r}$$

## Potential vorticity

**Ertel PV**

$$P = \frac{(\boldsymbol{\omega} + \mathbf{f}) \cdot \nabla \theta}{\rho}$$

**Slab-symmetric form**

$$q = \boldsymbol{\omega}_{\mathbf{a}} \cdot \nabla b = \left( N^2 + \frac{\partial b}{\partial z} \right) \zeta_a - \frac{\partial v}{\partial z} \frac{\partial b}{\partial x} = \left( N^2 + \frac{\partial b}{\partial z} \right) \zeta_a - f S^2$$

## Sawyer-Eliassen Equation

### Slab-symmetric

$$\left(N^2 + \frac{\partial b}{\partial z}\right) \frac{\partial^2 \psi}{\partial x^2} - 2fS \frac{\partial^2 \psi}{\partial x \partial z} + f\zeta_a \frac{\partial^2 \psi}{\partial z^2} = \frac{\partial \dot{V}}{\partial z} - \frac{\partial \dot{B}}{\partial x}$$

**Transform**  $X = x + v/f, \quad Z = z$

$$\frac{\partial}{\partial X} \left( q \frac{\partial \psi}{\partial X} \right) + f^3 \frac{\partial^2 \psi}{\partial Z^2} = \frac{f^2}{\zeta_a} \left( \frac{\partial \dot{V}}{\partial Z} + \frac{S}{f} \frac{\partial \dot{V}}{\partial X} \right) - \frac{\partial \dot{B}}{\partial X}$$

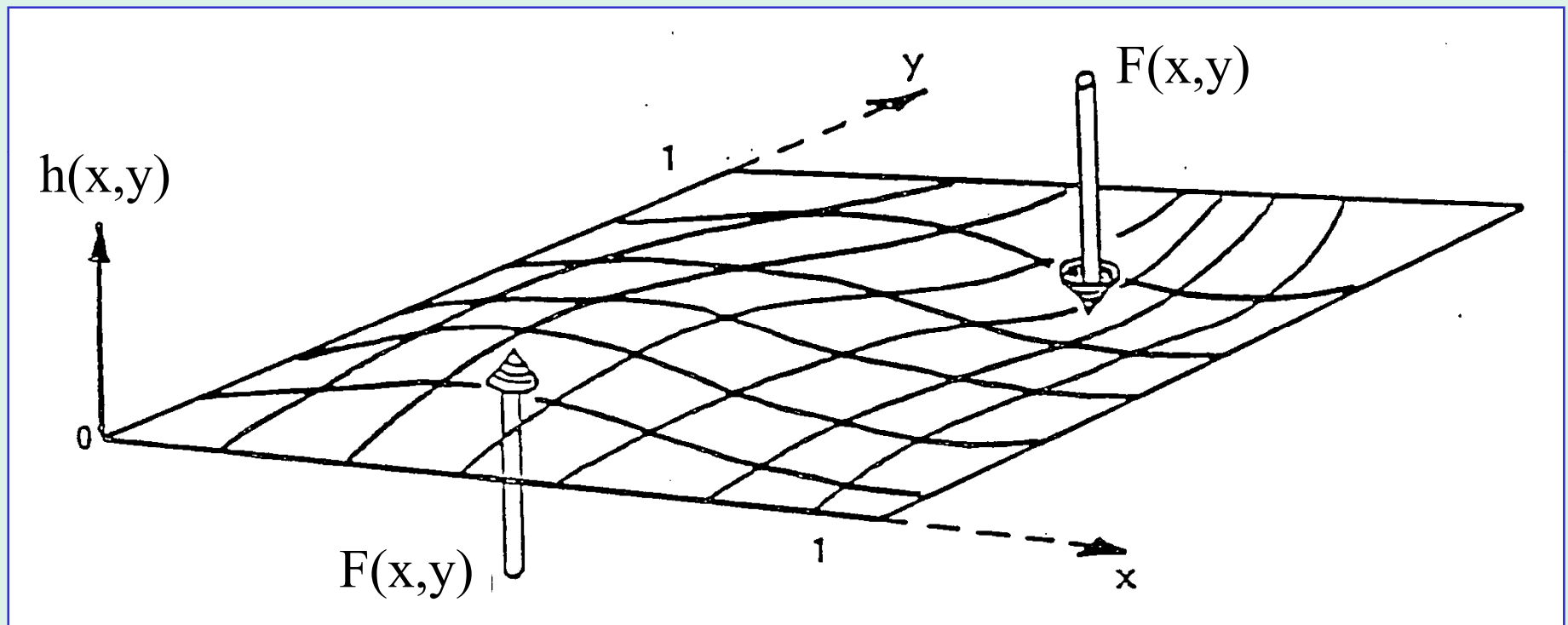
### Special case $q = \text{constant}$

$$\frac{\partial^2 \psi}{\partial X^2} + \frac{\partial^2 \psi}{\partial \bar{Z}^2} = \frac{f^2}{q\zeta_a} \left( \frac{\partial \dot{V}}{\partial Z} + \frac{S}{f} \frac{\partial \dot{V}}{\partial X} \right) - \frac{1}{q} \frac{\partial \dot{B}}{\partial X}$$

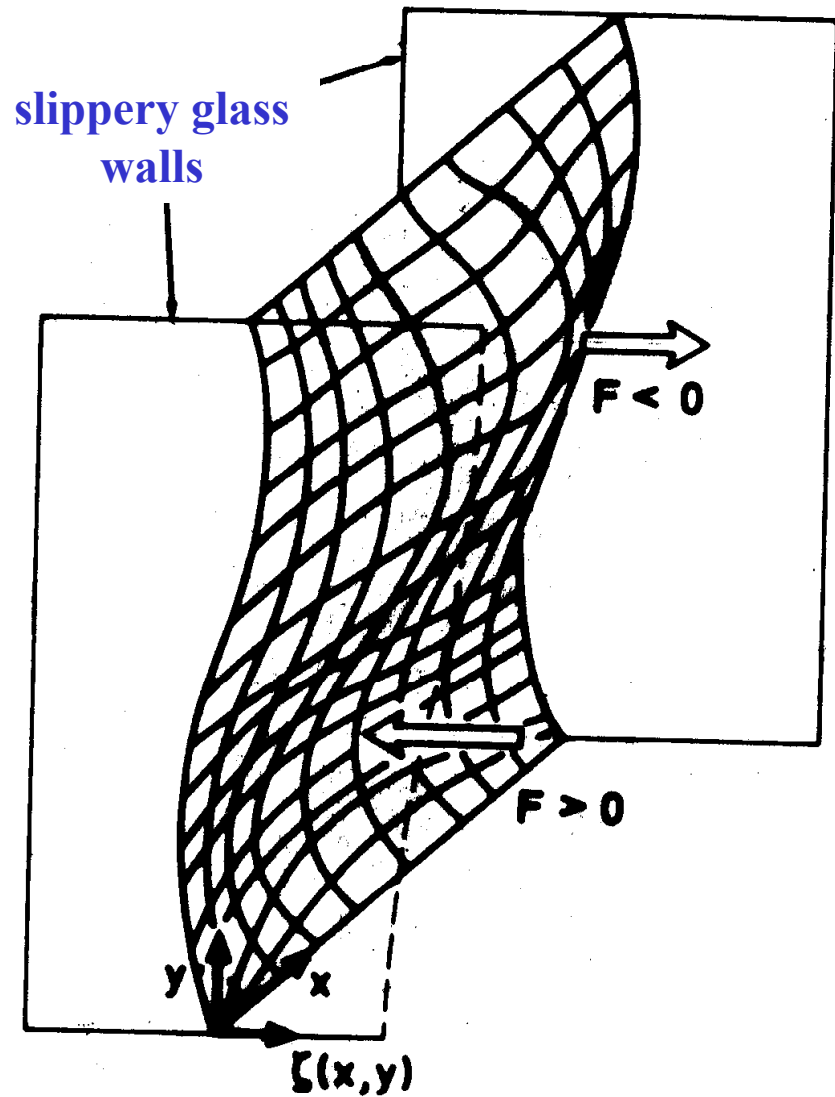
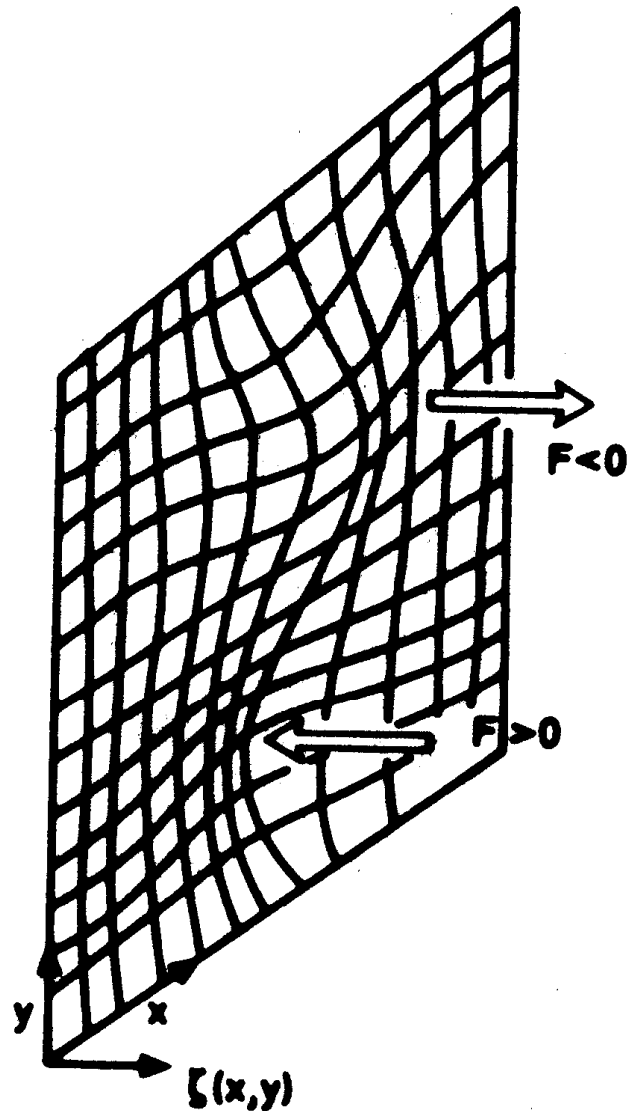
where  $\bar{Z} = \sqrt{q/f} Z/f$  is a vertical length scale

## The membrane analogy

$$\frac{\partial^2 h}{\partial^2 x} + \frac{\partial^2 h}{\partial^2 y} = -F(x, y)$$



**Equilibrium displacement of a stretched membrane over a square under the force distribution  $F(x,y)$ .**



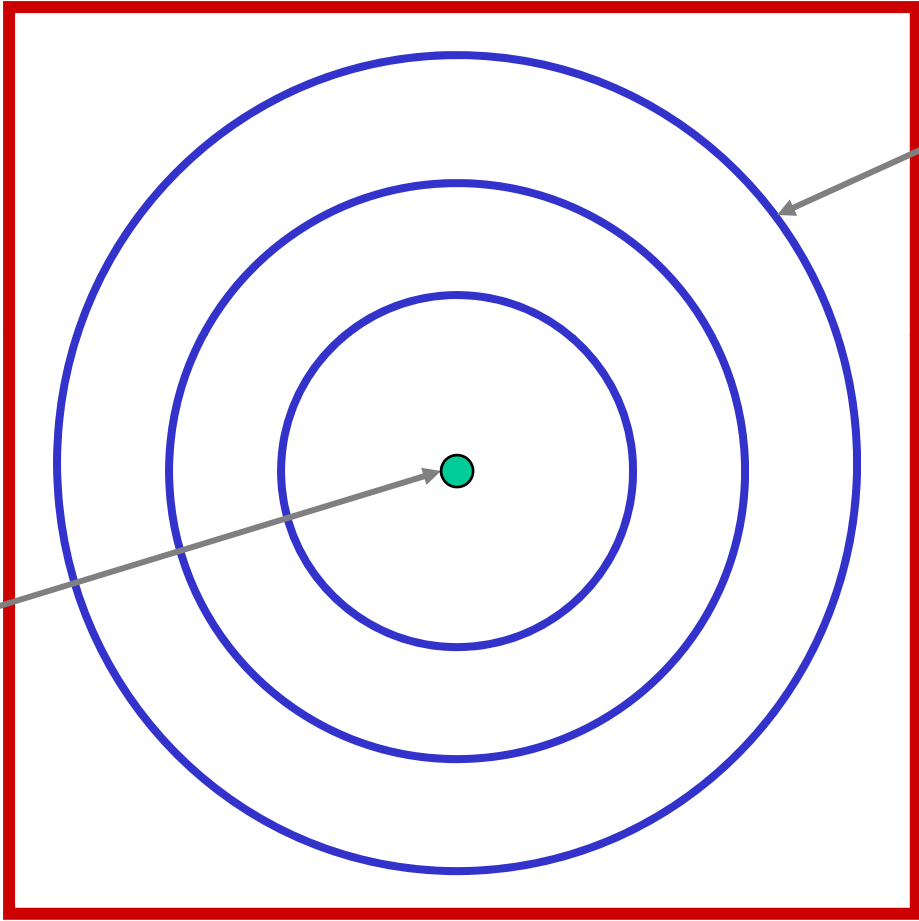
$$\frac{\partial^2 \psi}{\partial^2 x} + \frac{\partial^2 \psi}{\partial^2 y} = \zeta(x, y)$$

$y$  ↑

$\psi = \text{constant}$

$$\zeta = \zeta_c \delta(x) \delta(y)$$

$x$  →



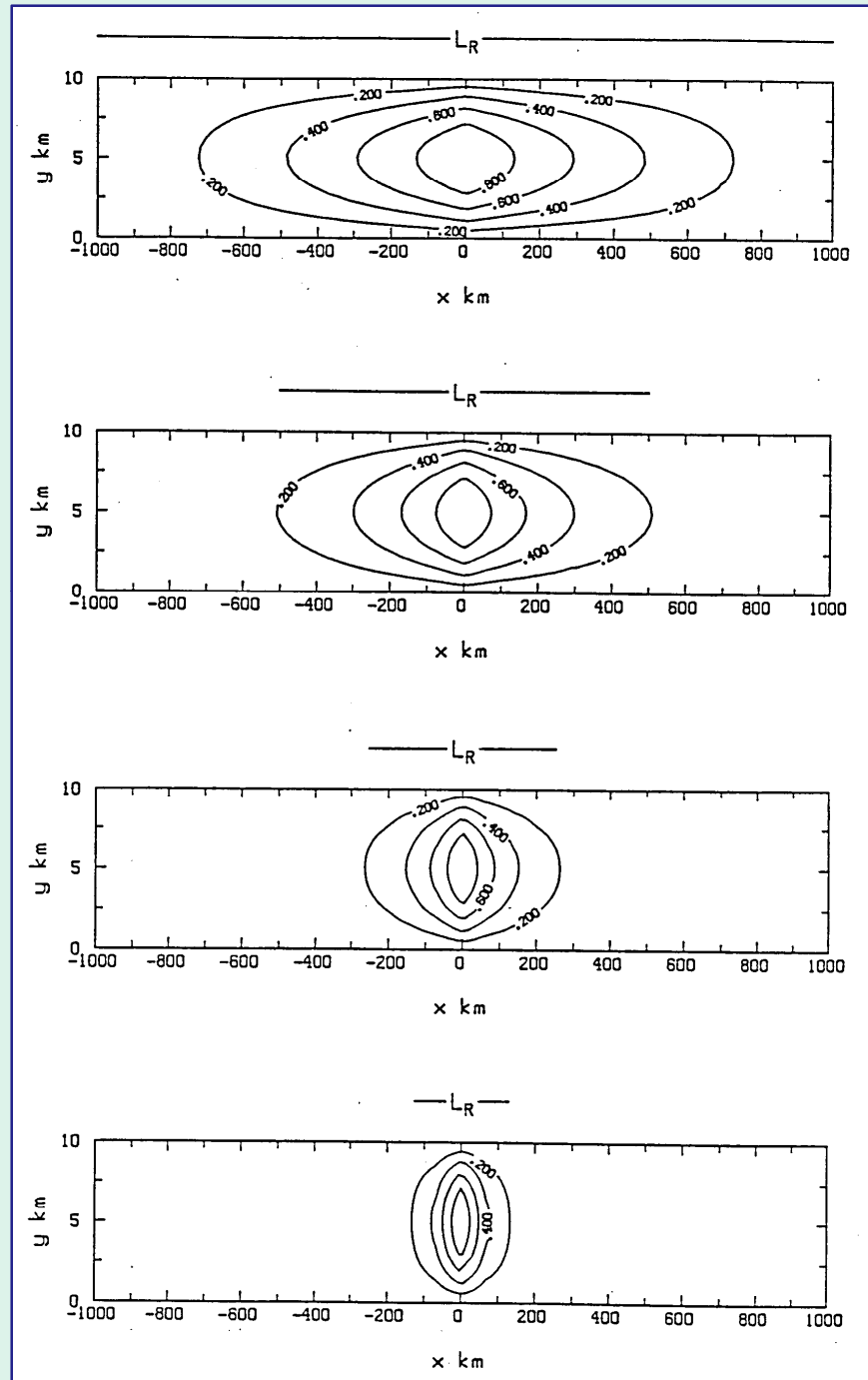


$$N^2 \frac{\partial^2 \psi}{\partial^2 x} + f^2 \frac{\partial^2 \psi}{\partial^2 z} = F(x, z)$$

Put  $\bar{z} = \frac{N}{f} z \Rightarrow$

$$\frac{\partial^2 \psi}{\partial^2 x} + \frac{\partial^2 \psi}{\partial^2 \bar{z}} = \frac{1}{N^2} \bar{F}(x, \bar{z})$$

$$L_R = \frac{NH}{f}$$



## The Sawyer-Eliassen equation

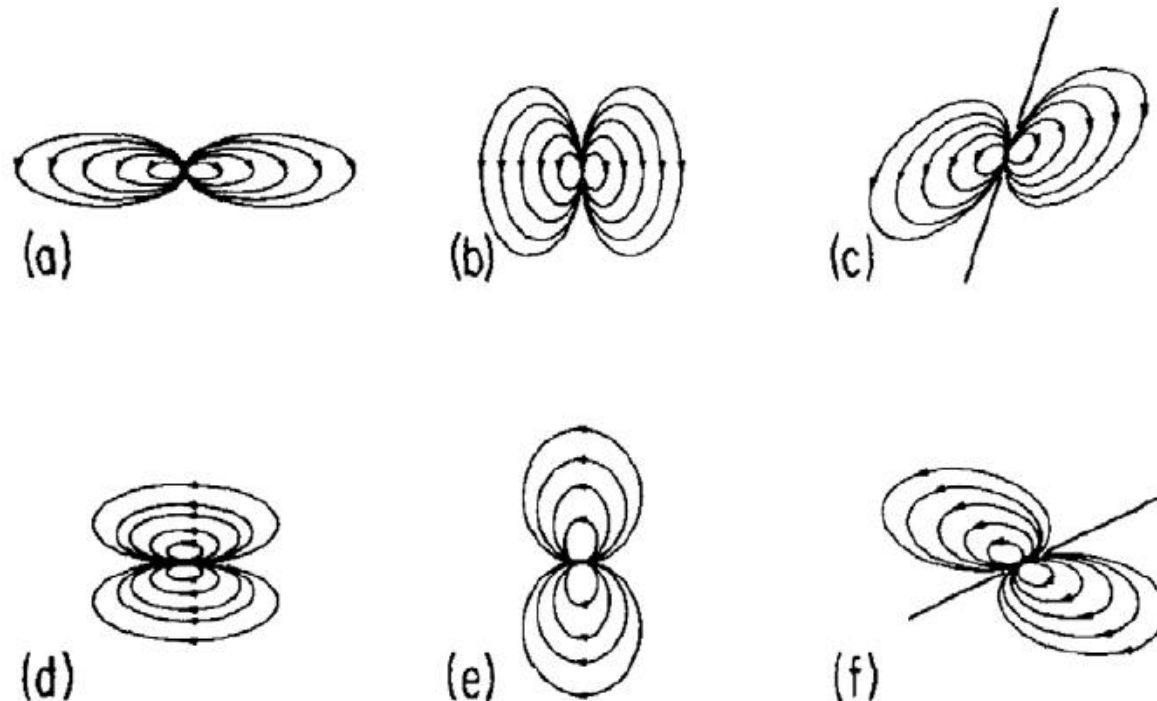


Figure 3.11: Streamfunction responses to point sources of: (a) Heat in a barotropic vortex with weak inertial stability, (b) heat in a barotropic vortex with strong inertial stability, (c) heat in a baroclinic vortex, (d) momentum in a barotropic vortex with weak inertial stability, (e) momentum in a barotropic vortex with strong inertial stability, and (f) momentum in a baroclinic vortex. (Based on Figs. 8, 9, 11, and 12

## Sawyer-Eliassen Equation

### Axi-symmetric

$$\frac{\partial}{\partial r} \left[ g \frac{\partial \chi}{\partial z} \frac{1}{\rho r} \frac{\partial \psi}{\partial r} + \frac{\partial}{\partial z} (\chi C) \frac{1}{\rho r} \frac{\partial \psi}{\partial z} \right] -$$
$$\frac{\partial}{\partial z} \left[ \left( \xi \chi (\zeta + f) + C \frac{\partial \chi}{\partial r} \right) \frac{1}{\rho r} \frac{\partial \psi}{\partial z} - \frac{\partial}{\partial z} (\chi C) \frac{1}{\rho r} \frac{\partial \psi}{\partial r} \right] = g \frac{\partial Q}{\partial r} + \frac{\partial}{\partial z} (CQ) + \frac{\partial}{\partial z} (\chi \xi \dot{V})$$

**Discriminant**

$$D = -g \frac{\partial \chi}{\partial z} \left( \xi \chi (\zeta + f) + C \frac{\partial \chi}{\partial r} \right) - \left[ \frac{\partial}{\partial z} (\chi C) \right]^2$$

**SE equation is elliptic if  $D > 0$**

$$u = -\frac{1}{r\rho} \frac{\partial \psi}{\partial z} \quad w = \frac{1}{r\rho} \frac{\partial \psi}{\partial r}$$

## Parameters in SE Equation

- the *static stability*

$$N^2 = -g \frac{\partial \ln \chi}{\partial z};$$

- the *inertial stability*

$$I^2 = \frac{1}{r^3} \frac{\partial M^2}{\partial r} = \xi(\zeta + f)$$

- the *baroclinicity*

$$B^2 = \frac{1}{r^3} \frac{\partial M^2}{\partial z} = \xi S.$$

$$\xi = \frac{2v}{r} + f$$

## Potential vorticity

**Ertel PV**

$$P = \frac{(\boldsymbol{\omega} + \mathbf{f}) \cdot \nabla \theta}{\rho}$$

**Slab-symmetric**

$$q = \boldsymbol{\omega}_a \cdot \nabla b = \left( N^2 + \frac{\partial b}{\partial z} \right) \zeta_a - \frac{\partial v}{\partial z} \frac{\partial b}{\partial x} = \left( N^2 + \frac{\partial b}{\partial z} \right) \zeta_a - f S^2$$

**Axi-symmetric**

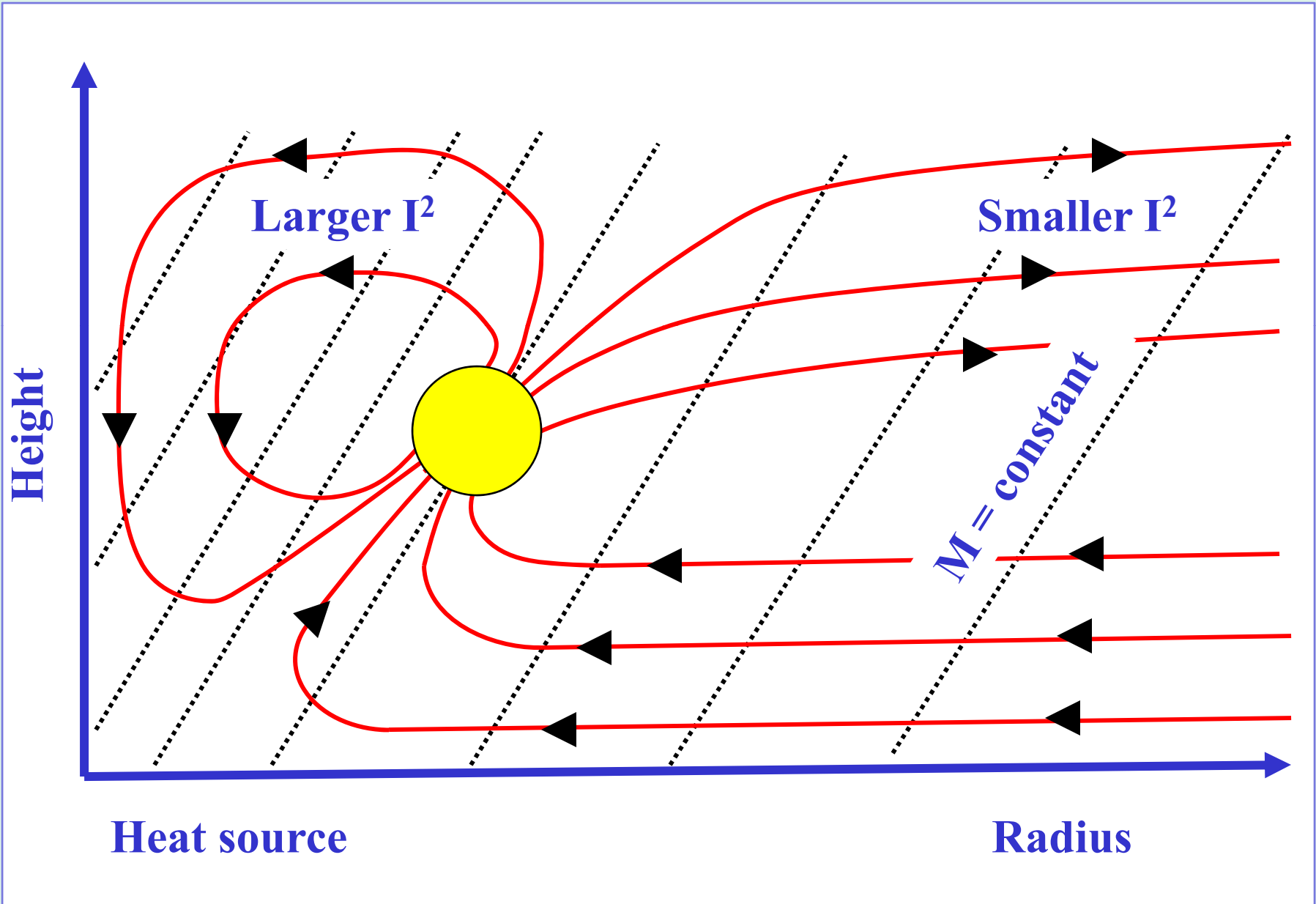
$$P = \frac{1}{\rho \chi^2} \left[ \frac{\partial v}{\partial z} \frac{\partial \chi}{\partial r} - (\zeta + f) \frac{\partial \chi}{\partial z} \right]$$

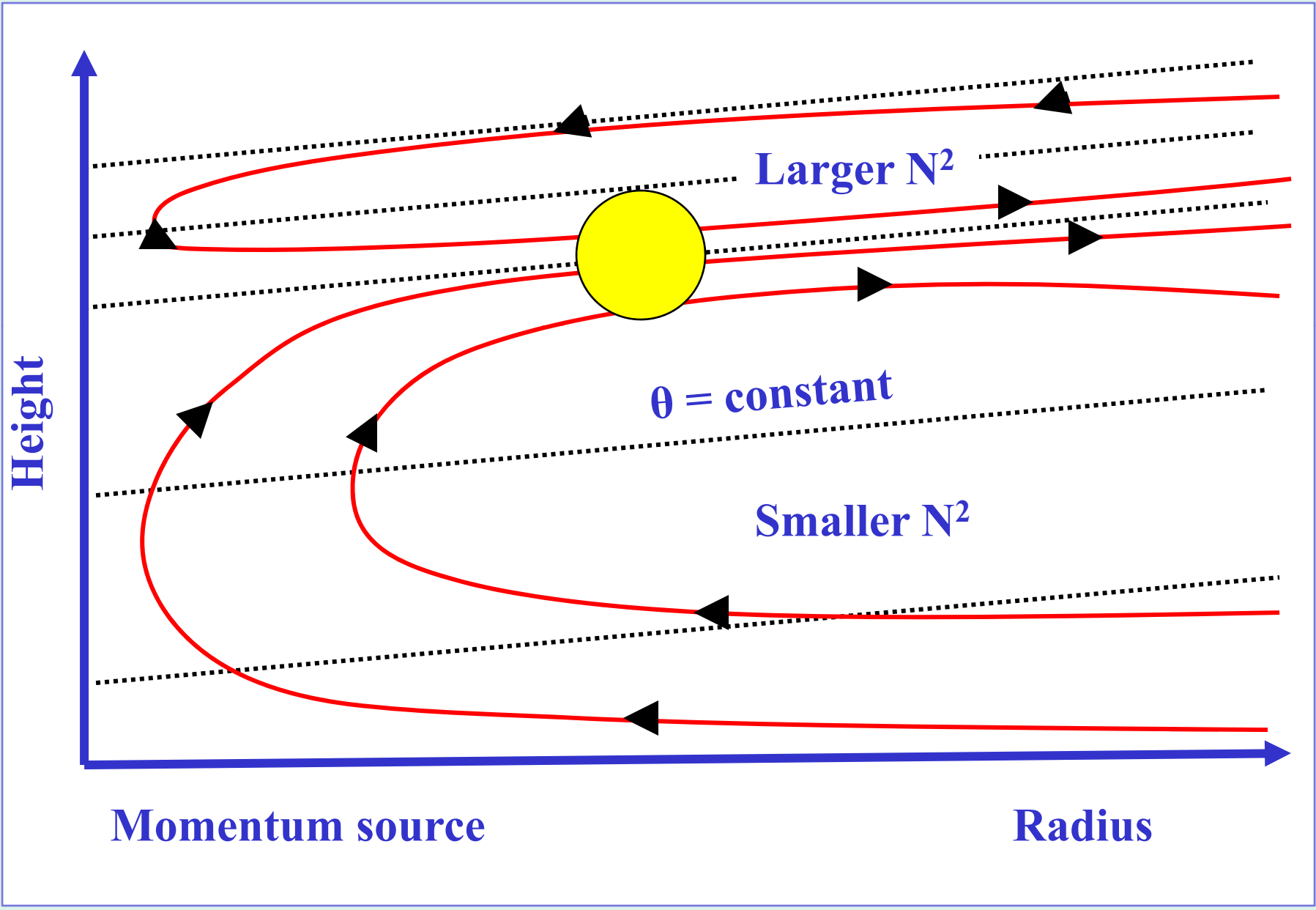
**Discriminant**

$$D = -g \frac{\partial \chi}{\partial z} \left( \xi \chi (\zeta + f) + C \frac{\partial \chi}{\partial r} \right) - \left[ \frac{\partial}{\partial z} (\chi C) \right]^2$$

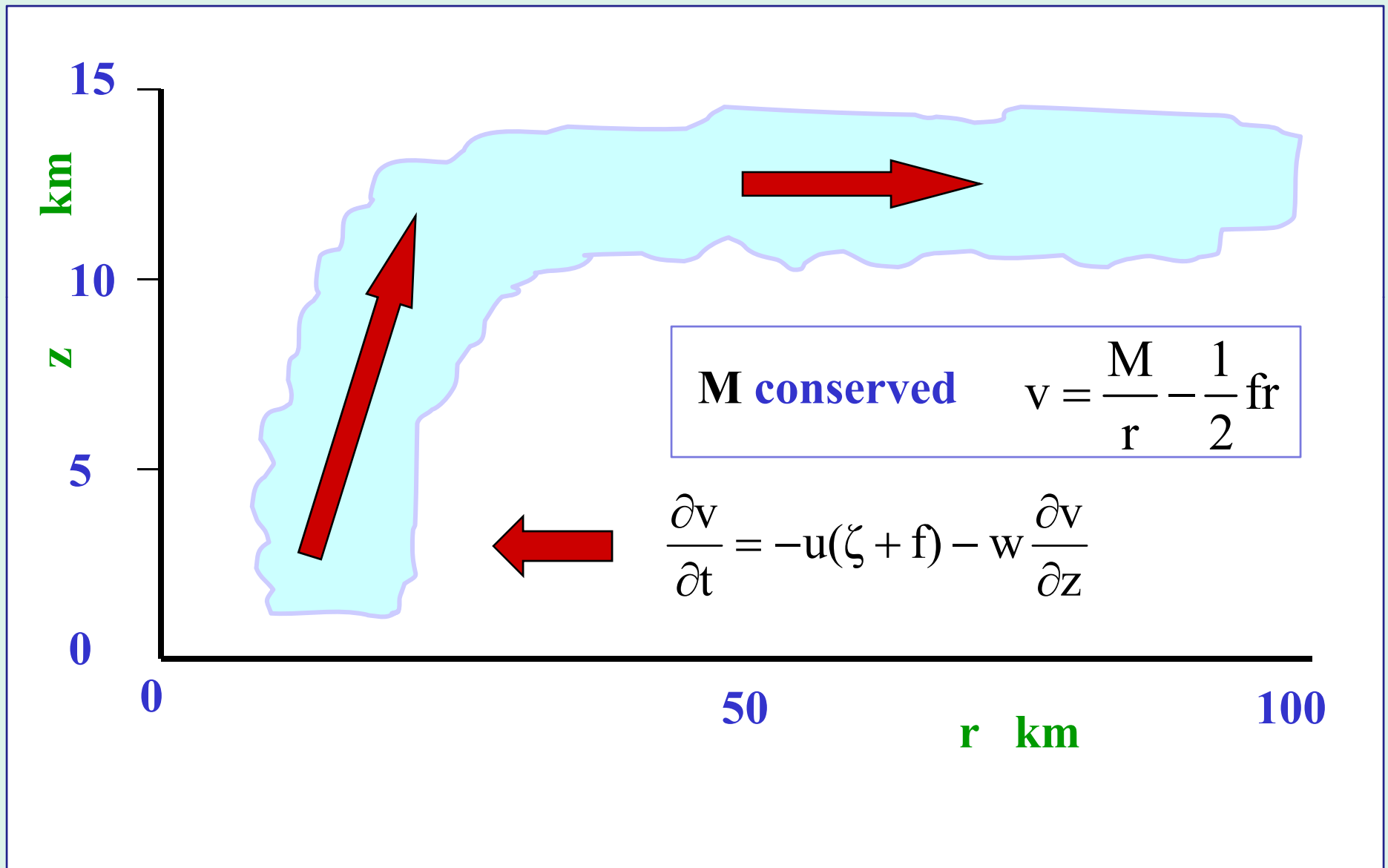
**Can show that**

$$g \rho \chi^3 \xi P = D$$





## Thermally-forced secondary circulation leads to spin up





## Prediction method

**Initial condition:**  $v(r,z,0)$  given

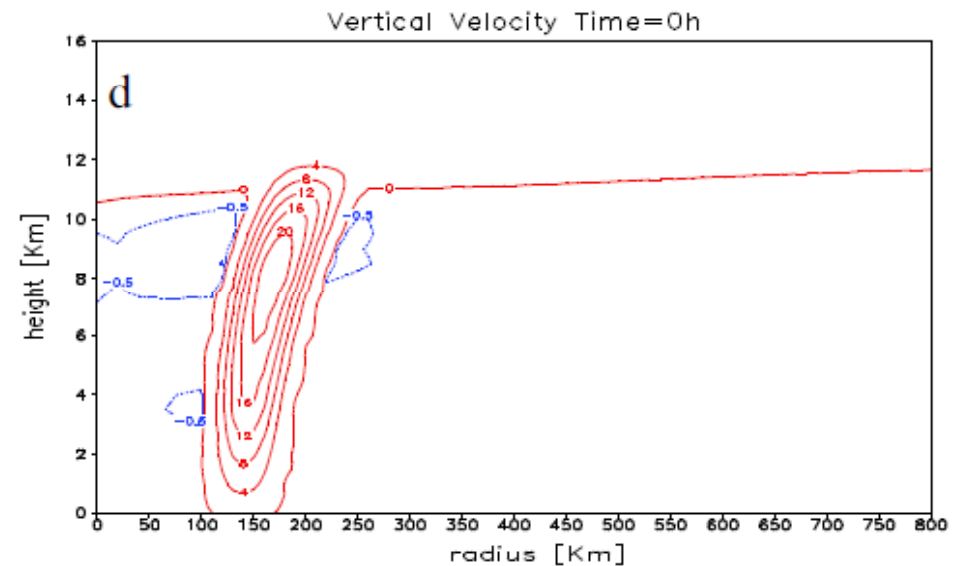
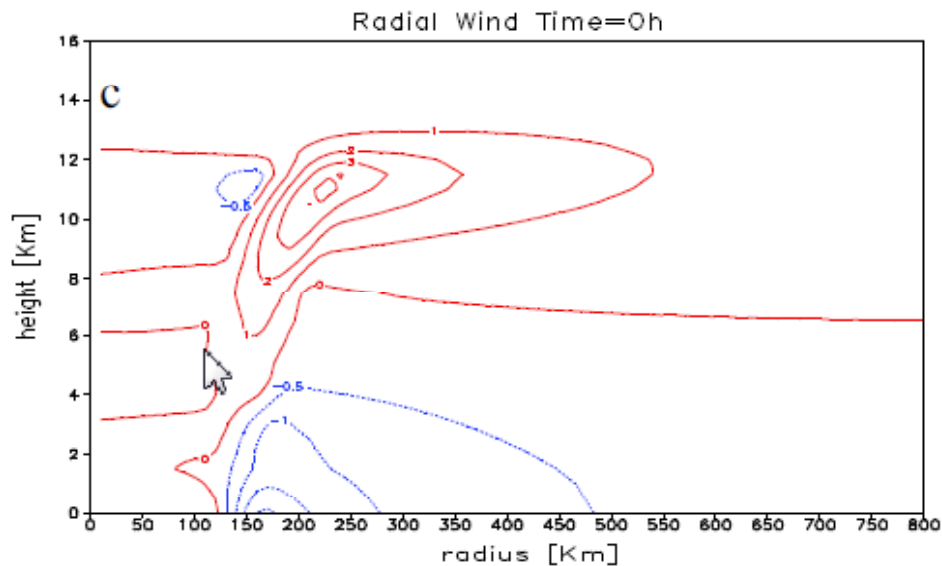
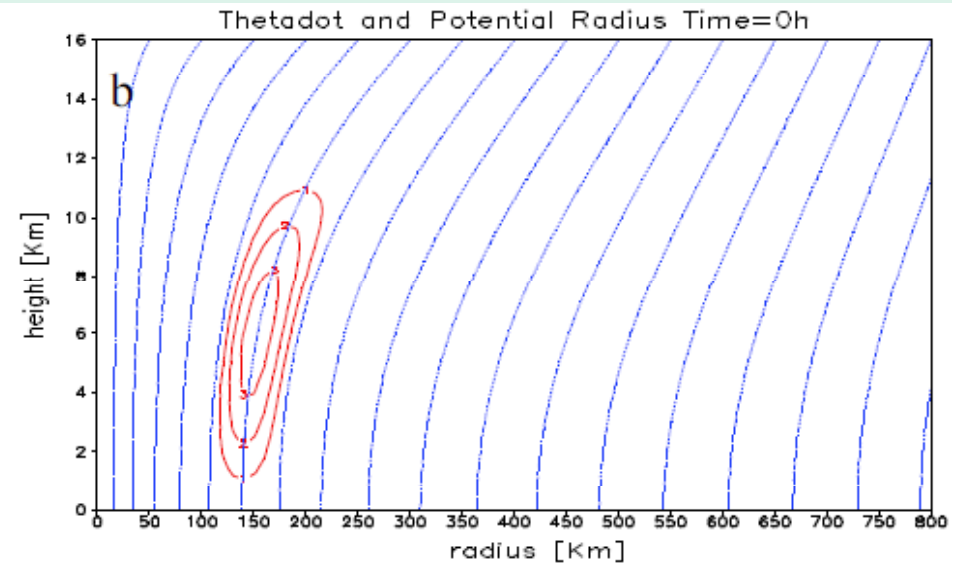
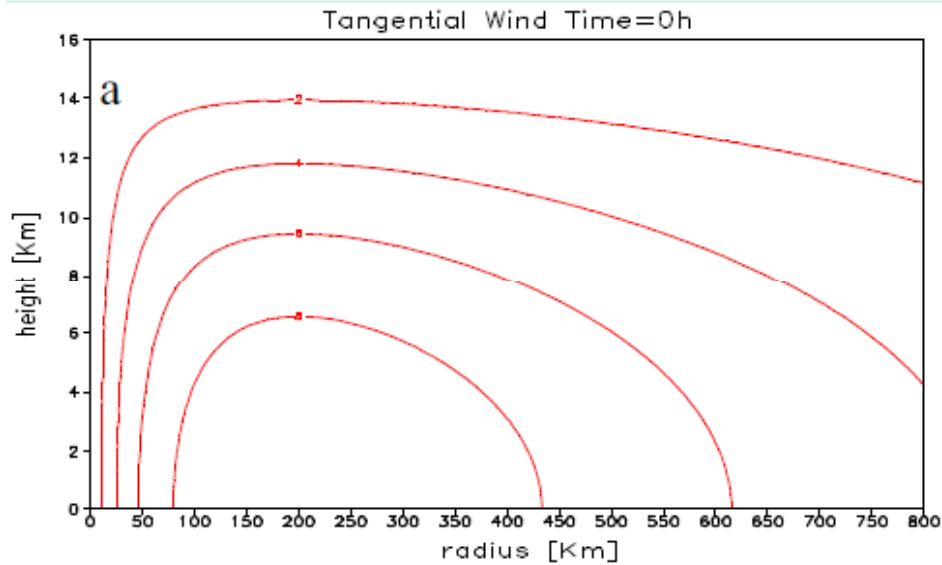
**Heating and friction distributions given**

**Solve**  $g \frac{\partial(\ln \chi)}{\partial r} + C \frac{\partial(\ln \chi)}{\partial z} = -\frac{\partial C}{\partial z}$  **for**  $\chi$

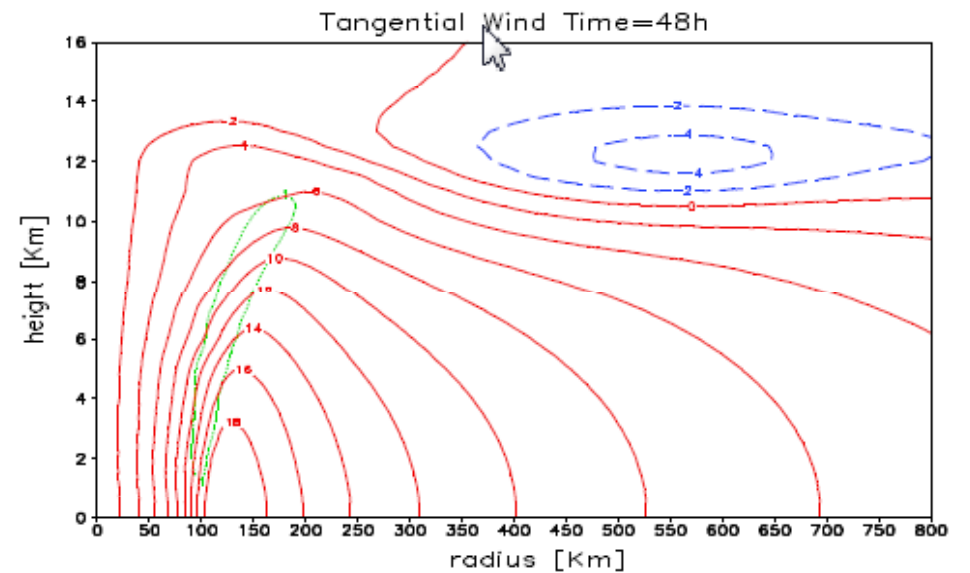
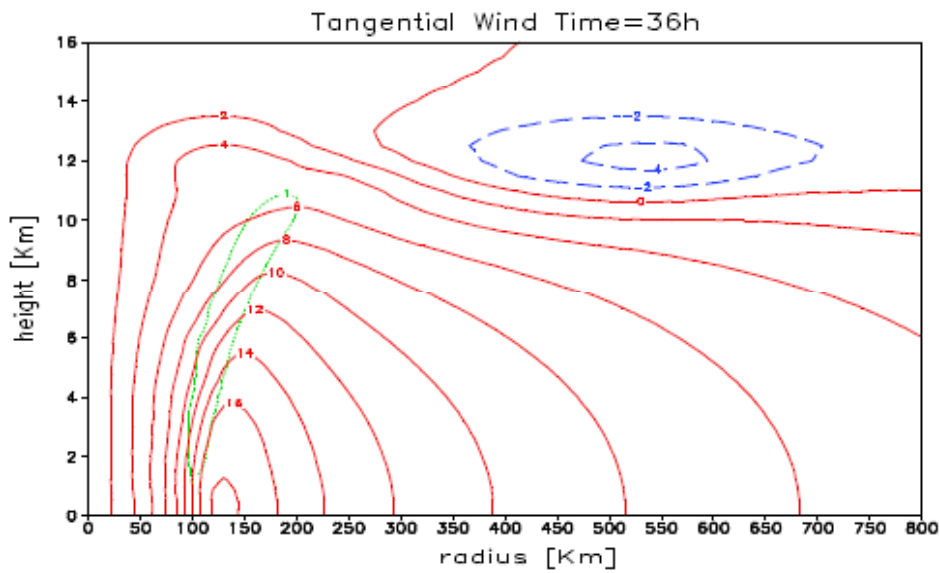
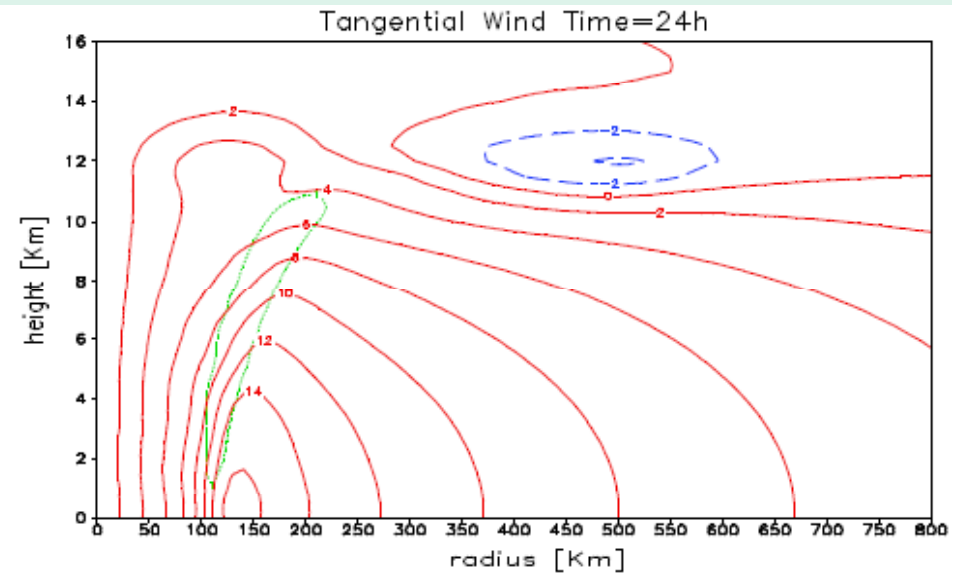
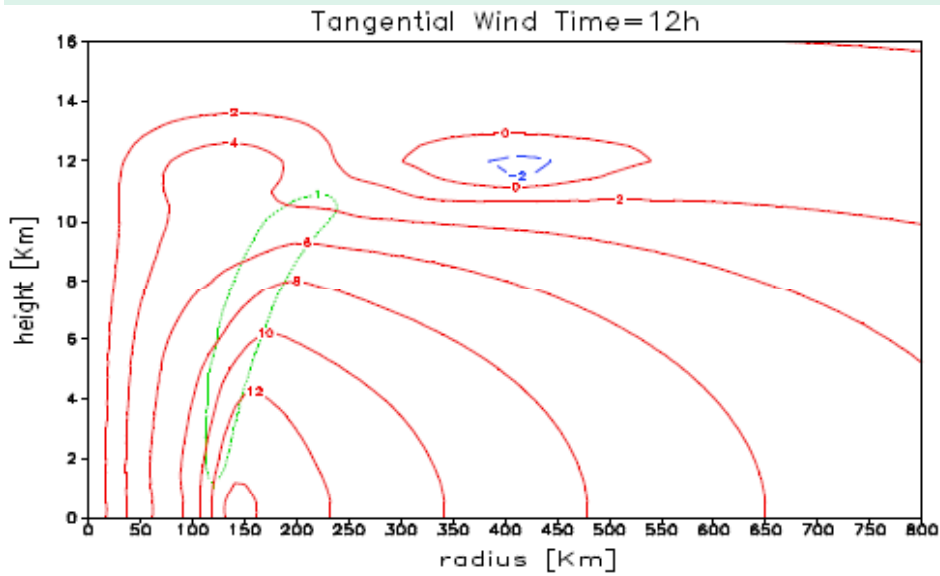
**Solve SE-equation for**  $\psi$

**Solve**  $u = -\frac{1}{r\rho} \frac{\partial\psi}{\partial z}$   $w = \frac{1}{r\rho} \frac{\partial\psi}{\partial r}$  **for**  $u$  and  $w$

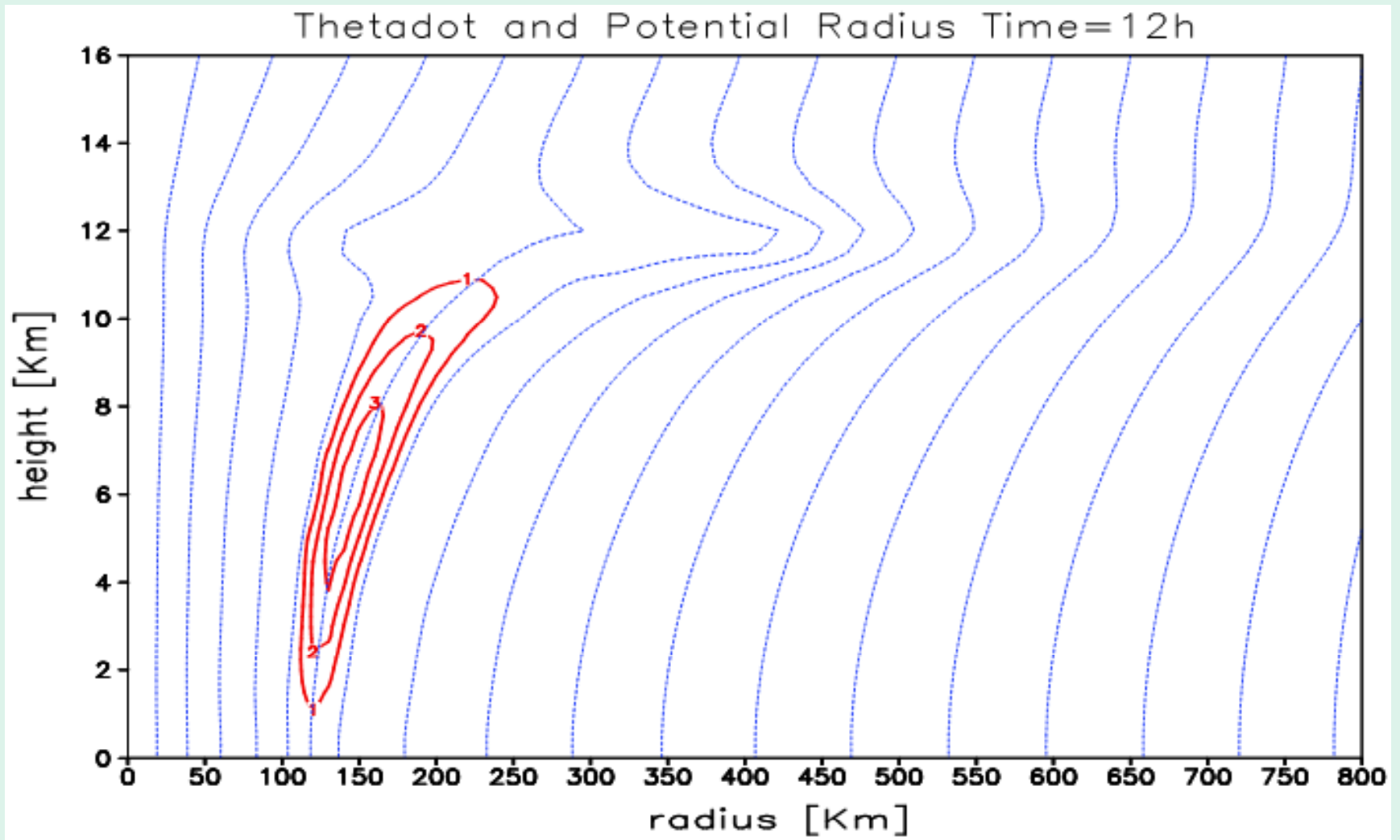
**Integrate**  $\frac{\partial v}{\partial t} + u(\zeta + f) + wS = \dot{V}$  **for**  $v(r,z,\Delta t)$



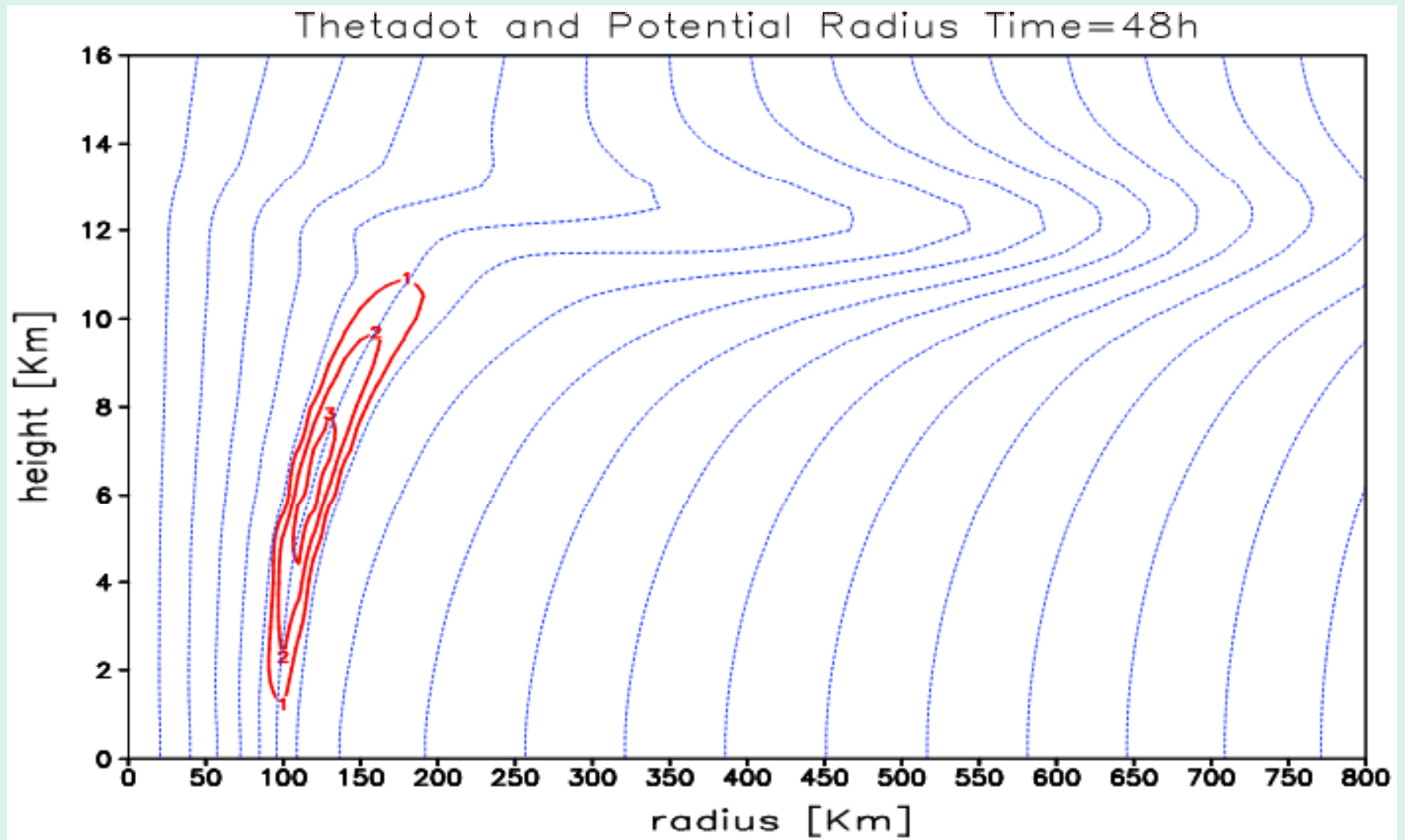
Calculations by Dr. Hai Bui (Hanoi Uni)



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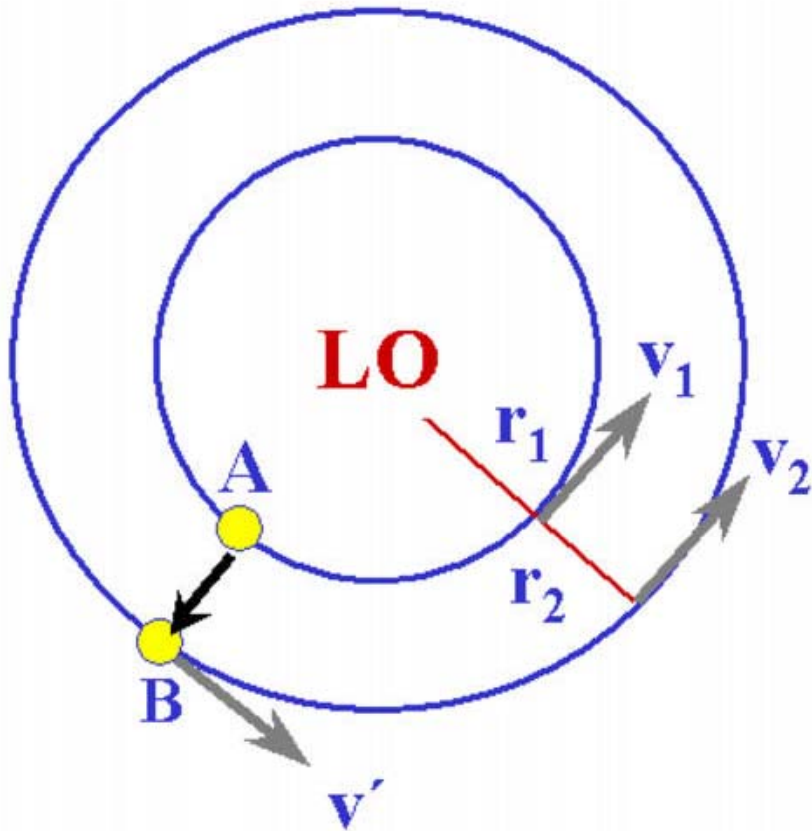
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# Barotropic stability



The parcel at **A** conserves its angular momentum during its radial displacement to **B**

$$r_2 v' + \frac{1}{2} f r_2^2 = r_1 v_1 + \frac{1}{2} f r_1^2,$$

$$v' = \frac{r_1}{r_2} v_1 + \frac{1}{2} \frac{f}{r_2} (r_1^2 - r_2^2) \quad (3.17)$$

# Net radial force on a displaced air parcel

## Radial pressure gradient at B

$$\left. \frac{1}{\rho} \frac{dp}{dr} \right]_{r=r_2} = \frac{v_2^2}{r_2} + f v_2. \quad (3.18)$$

## Net force on parcel at B

$F$  = centrifugal + Coriolis force – radial pressure gradient

$$= \frac{v'^2}{r_2} + f v' - \left. \frac{1}{\rho} \frac{\partial p}{\partial r} \right]_{r=r_2}$$

$$F = \frac{1}{r_2^3} \left[ (r_1 v_1 + \frac{1}{2} r_1^2 f)^2 - (r_2 v_2 + \frac{1}{2} r_2^2 f)^2 \right]. \quad (3.19)$$



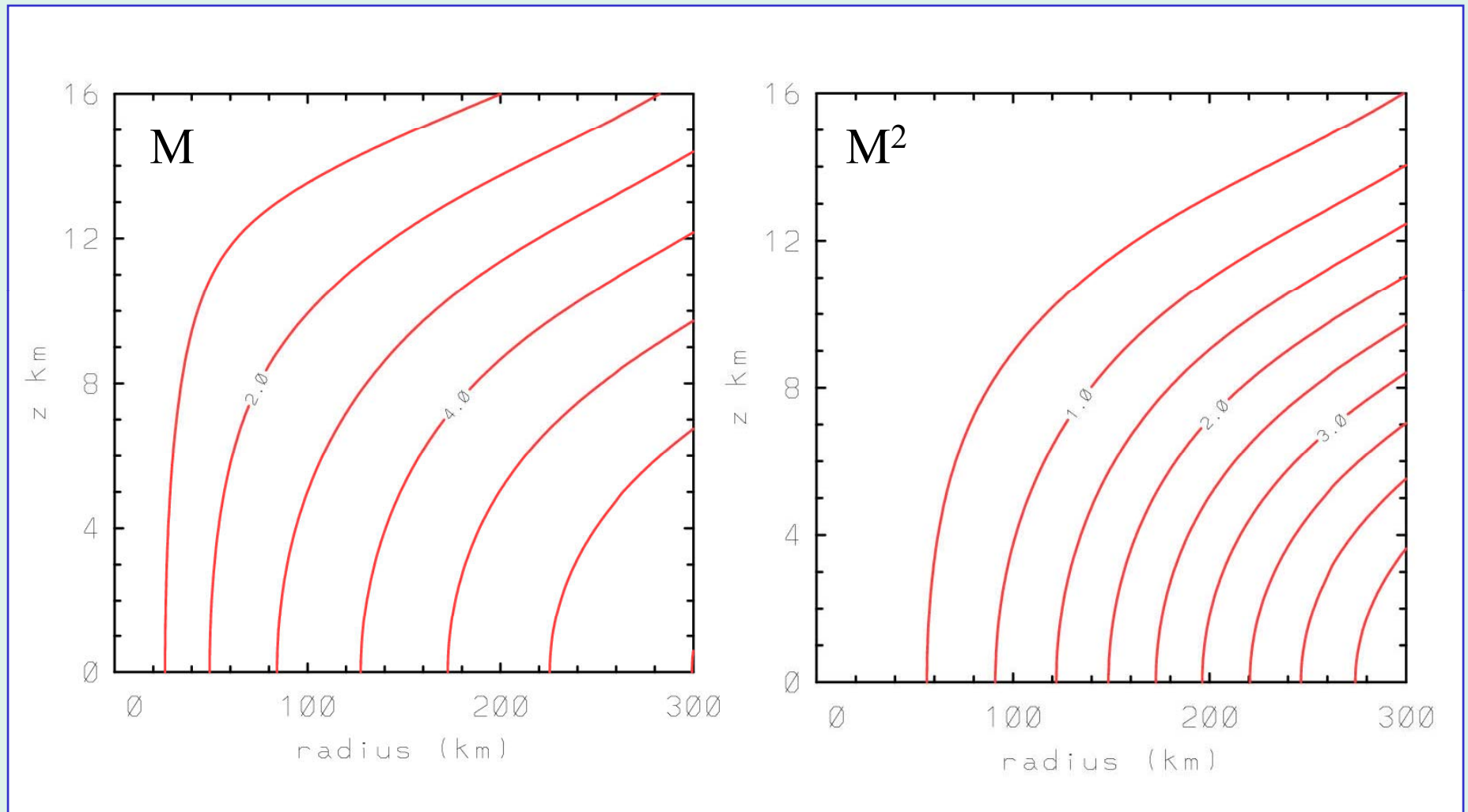
## Net radial force on a displaced air parcel

$$F = \frac{1}{r_2^3} \left[ (r_1 v_1 + \frac{1}{2} r_1^2 f)^2 - (r_2 v_2 + \frac{1}{2} r_2^2 f)^2 \right]. \quad (3.19)$$

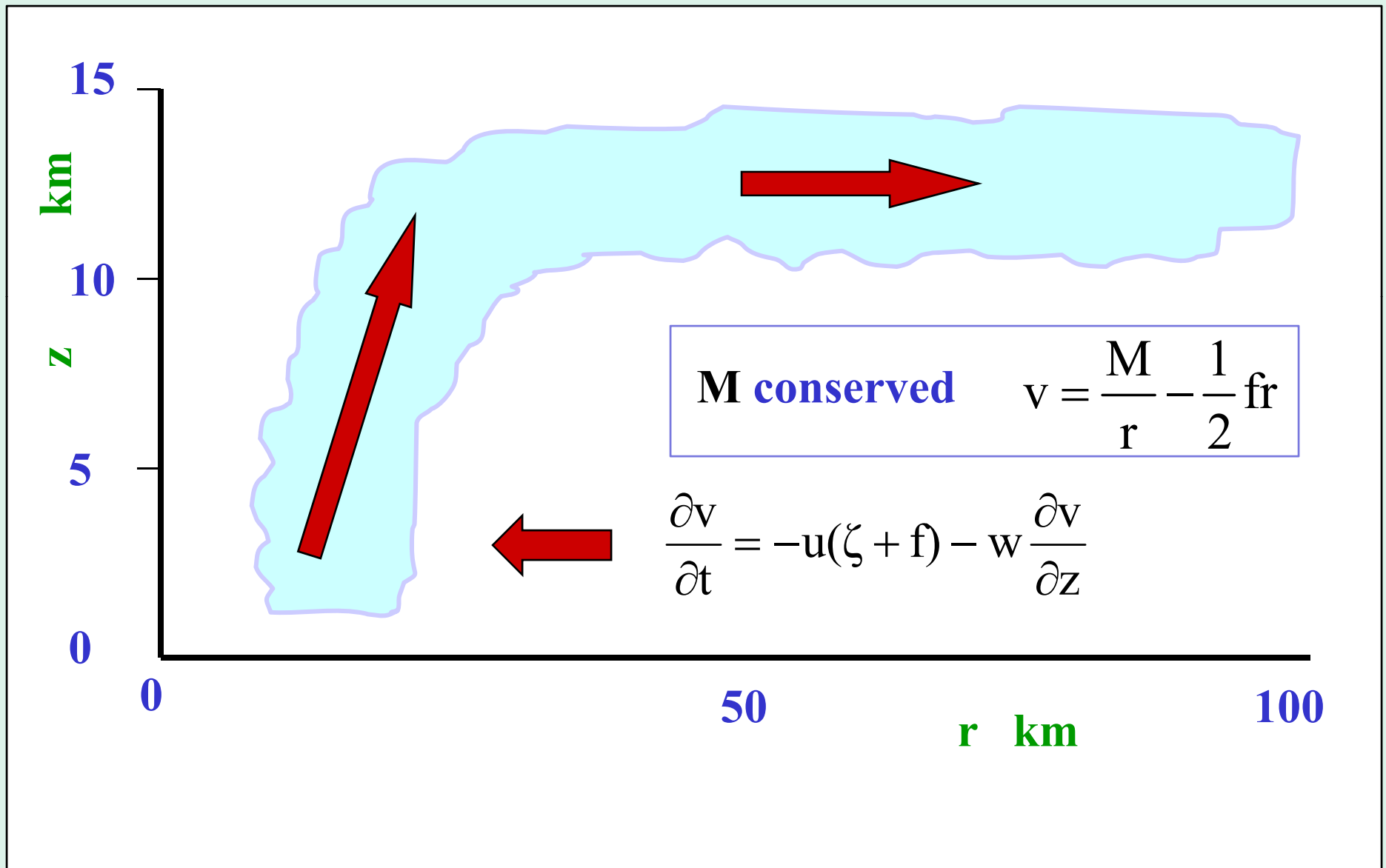
In the special case of solid body rotation,  $v = \Omega r$ , and for a small displacement from radius  $r_1 = r$  to  $r_2 = r + r'$ , (3.19) gives

$$F \approx -4(\Omega + \frac{1}{2}f)^2 r' \quad (3.20)$$

# AAM in a typical vortex

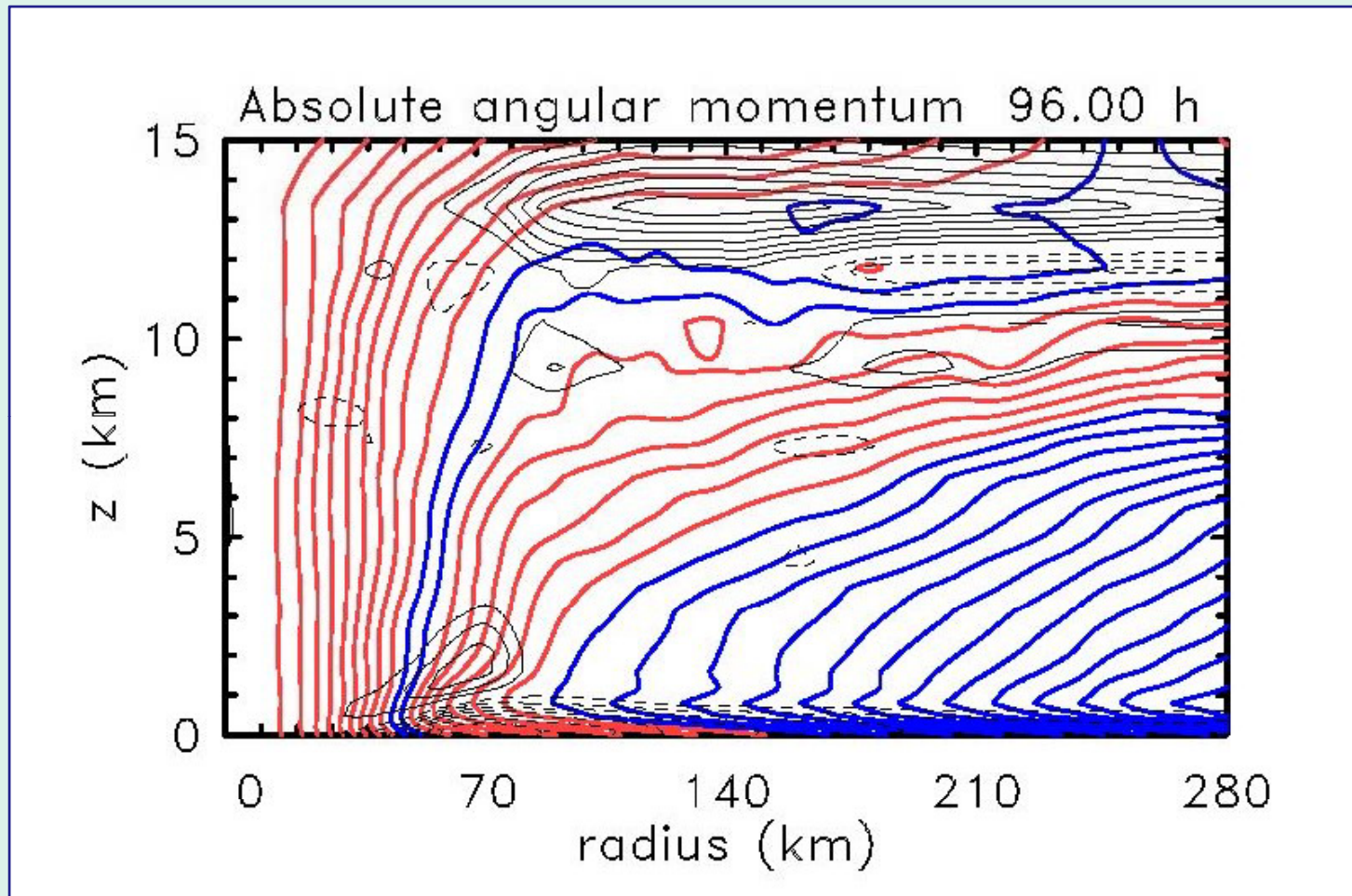


## Thermally-forced secondary circulation leads to spin up



## Movie

## Time-height sequence of Absolute Angular Momentum



$$M = rv + \frac{1}{2} fr^2$$



$$v = \frac{M}{r} - \frac{1}{2} fr$$

