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2 **Height correction of atmospheric motion vectors using airborne lidar observations**

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ABSTRACT

20

21 Uncertainties in the height assignment of Atmospheric Motion Vectors (AMVs) are the
22 main contributor to the total AMV wind error and these uncertainties introduce errors that
23 can be horizontally correlated over several hundred kilometers. As a consequence, only a
24 small fraction of the available AMVs is currently used in numerical weather prediction
25 systems. For this reason, we investigate how to improve the height assignment of AMVs, at
26 first with independent airborne lidar observations and secondly by treating AMVs as layer-
27 winds instead of winds at a discrete level.

28 The lidar-AMV height correction reveals that the wind error of AMVs can be reduced by
29 5-10% when AMV winds are assigned to a 100-150 hPa deep layer beneath the cloud top
30 derived from nearby lidar observations. The correction is performed using airborne lidar
31 observations during the THORPEX Pacific Asian Regional Campaign 2008, but the method
32 could also be applied using satellite-based lidars. In addition to the reduction of AMV errors,
33 the lidar-AMV height correction is expected to reduce the correlation of AMV errors as lidars
34 provide independent information on cloud top heights.

35 Furthermore, AMVs are compared to dropsonde and radiosonde winds averaged over
36 vertical layers of different depth to investigate the optimal height assignment for AMVs in
37 data assimilation. Consistent with previous studies, it is shown that AMV winds better match
38 sounding winds vertically averaged over ~ 100 hPa than sounding winds at a discrete level.
39 The comparison to deeper layers further reduces the RMS difference, but introduces
40 systematic differences of wind speeds.

41 **1. Introduction**

42 Atmospheric Motion Vectors (AMVs) derived by tracking the drift of cloud or water vapor
43 features in satellite imagery are a key element of the global observing system for the
44 initialization of numerical weather prediction (NWP) models. They particularly constrain the
45 wind field in remote areas of the southern hemisphere and above the world's oceans where
46 hardly any other wind observations exist. Several studies have documented the positive
47 contribution of AMVs to the forecast skill of global NWP models (Bormann and Thépaut
48 2004; Velden et al 2005; Gelaro et al. 2010). All major NWP centers now assimilate AMVs
49 from several geostationary and polar orbiting satellites that together provide a nearly global
50 coverage.

51 Despite improvements of the retrieval algorithms over the last decades however, the
52 height assignment of AMVs introduces significant errors. Velden and Bedka (2009, VB2009
53 hereafter) estimated that the height assignment is the dominant factor in AMV uncertainty
54 and contributes up to 70% of the total error. In addition, those errors are horizontally
55 correlated over up to 800 km (Bormann et al. 2003). As a consequence, AMVs are usually
56 thinned rigorously for the assimilation in NWP models. The European Centre for Medium-
57 range Weather Forecasts (ECMWF) for example currently uses less than 10% of the available
58 AMVs to avoid correlated errors.

59 Spaceborne lidars as the one on the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite
60 Observation (CALIPSO) satellite can accurately determine the height of cloud tops.
61 Therefore, the combination of AMVs with cloud top information from satellite lidars is seen
62 as promising approach to reduce the error and error correlation of AMVs. Di Michele et al.
63 (2012) compared the cloud top heights derived from CALIPSO lidar data and AMV heights,

64 but they didn't correct AMV heights and they didn't answer the question if the AMV should
65 actually be assigned to the lidar observed cloud top itself or to some layer around or
66 beneath the lidar cloud top observations.

67 The present study intends to develop a height correction for AMVs based on lidar
68 observations. Instead of satellite lidar observations, the height correction is tested with
69 airborne lidar observation during the THORPEX Pacific Asian Regional Campaign (T-PARC)
70 2008 (Weissmann et al. 2011, 2012). The use of airborne observations has the advantage
71 that more than 300 dropsondes are available to validate AMV winds before and after the
72 correction. Observations of the lidar backscatter ratio at 1064 nm and dropsondes were
73 both performed during 24 research flights of the Falcon 20 research aircraft of the Deutsches
74 Zentrum für Luft- und Raumfahrt (DLR).

75 In addition, the present paper investigates the appropriate layer depth and its vertical
76 position for the assimilation of AMVs. The study of VB2009 indicates that AMVs represent
77 the wind in a tropospheric layer rather than at a finite level. For this reason they calculated
78 the vector root-mean-square (VRMS) difference between AMVs and radiosonde winds
79 averaged over layers of different depth from the AMV height downward. The present paper
80 also investigates the effect of layer-averages on the wind speed bias as systematic errors are
81 particularly crucial in data assimilation. Furthermore, we test different vertical positions of
82 the averaging layer relative to the original AMV height. For this reason we compare AMVs to
83 sounding winds averaged over layers of different depth and we shift these layers from above
84 to beneath the AMV. Systematic wind speed differences are calculated in addition to VRMS
85 differences as deeper layers lead to systematically weaker winds. The comparison is based
86 on several thousand vertical soundings (dropsondes and special radiosondes from ships and
87 small islands) during T-PARC.

88 The paper is outlined as follows: Section 2 describes T-PARC and the data set. Section 3
89 first compares AMV heights to lidar cloud top heights and then evaluates an AMV height
90 correction using lidar observations. Section 4 compares AMVs to layer-averaged radiosonde
91 and dropsonde winds and section 5 summarizes the results.

92

93 **2. Data set**

94 ***a. T-PARC observations***

95 The summer component of the multinational T-PARC field campaign was conducted in
96 August to October 2008 in the western North Pacific. T-PARC and the associated projects
97 Tropical Cyclone Structure 2008 (TCS-08) and Dropwindsonde Observations for Typhoon
98 Surveillance near the Taiwan Region (DOTSTAR) aimed to investigate the genesis of tropical
99 cyclones (TCs), to improve typhoon track and intensity forecasts by targeted observations
100 and to investigate the extratropical transition of TCs and their downstream impact in
101 midlatitudes (for more information see: <http://www.eol.ucar.edu/projects/t-parc/>). The
102 main observational platforms were four research aircraft launching dropsondes: The German
103 DLR Falcon 20, the U.S. Navy P-3, U.S. Air Force WC-130 and the Taiwanese DOTSTAR Astra
104 Jet. Altogether, over 500 flight hours were spent and over 1300 dropsondes were launched
105 in a period from 1 August to 3 October 2008. In addition to dropsondes, additional
106 radiosondes were launched from Japanese research vessels and from small islands. The right
107 panel of Fig. 1 shows the location of radiosondes and dropsondes used for the comparison of
108 AMVs with layer-averaged sounding winds in section 4.

109 The DLR Falcon was additionally equipped with a scanning wind lidar and a differential
110 absorption lidar (DIAL) system for water vapor observations (Wirth et al. 2009; Harnisch et
111 al. 2011). As a byproduct of water vapor profiles, the DIAL system also observes vertical
112 profiles of the backscatter ratio (*BSR*) at 1064 nm beneath the aircraft. These profiles can be
113 used to accurately determine the height of cloud tops. After testing different approaches for
114 deriving cloud top heights, the maximum of the *BSR* gradient plus a threshold for the *BSR*
115 gradient were used for cloud detection. There are many different approaches for deriving
116 cloud tops from lidar observations, but in general it was found that the differences between
117 cloud heights derived using different approaches or slightly modified thresholds are clearly
118 smaller than the differences between lidar cloud top heights and AMV heights (see Folger
119 2012 for details of the applied cloud detection method and differences of different
120 approaches). The DLR Falcon performed 24 research flights with dropsonde and lidar *BSR*
121 observations during T-PARC (Fig. 1). After quality screening, about 50 flight hours with lidar
122 *BSR* observations were available for the AMV height correction.

123 The Cooperative Institute for Meteorological Satellite Studies (CIMSS) produced hourly
124 AMVs from images of the operational Japanese Multi-functional Transport Satellite 1R
125 (MTSAT-1R) in four channels: (1) infra-red (IR) observations at 10.8 μm ; (2) visible (VIS)
126 observations at 0.73 μm for daytime low clouds; (3) shortwave infra-red (SWIR) observations
127 at 3.75 μm for nighttime low clouds; (4) observations in a channel sensitive to water vapor.
128 The present study uses AMVs in the first three channels (IR, VIS and SWIR) that track clouds
129 features. AMVs derived in the water vapor channel also track water vapor features and were
130 therefore not used in the present study. The CIMSS algorithm for deriving AMVs is close to
131 that used operationally by the National Environmental Satellite, Data, and Information
132 Service (NESDIS) of the National Oceanic and Atmospheric Administration (NOAA). AMV

133 heights were determined using H2O intercept method, the IR histogram method, and the
134 cloud base method (see Nieman 1993 and Olander 2001 for more details).

135 The mean flight level of the DLR Falcon was 11 km ASL, but lidar cloud observations
136 within 150 hPa from the aircraft downward were not used to assure that no AMVs from
137 clouds above the aircraft with a lower erroneous height assignment are in the data set. Due
138 to this selection criterion and a minimum of the number of cloud AMVs in the middle
139 troposphere, only AMVs beneath 500 hPa are used for the height comparison and height
140 correction. As a consequence, only AMVs derived from water, but not from ice clouds are in
141 the data set. About 58% of these AMVs are VIS, about 25% are SWIR and 17% are IR (Fig. 2).
142 The low fraction of IR AMVs is due to the fact that those are mainly located at higher
143 altitudes. SWIR AMVs are only derived during nighttime, whereas most flights were
144 performed during daylight.

145

146 ***b. Selection criteria for observations***

147 Observations for the lidar-AMV height comparison and correction in section 3 were
148 selected with the condition that there is a lidar cloud observation and an AMV within 60 min
149 time difference and 100 km horizontal distance. CIMSS also provides a quality indicator (QI)
150 for AMVs that ranges from 0-100 with 100 indicating the highest quality. This QI must be at
151 least 50 for observations used in section 3. These thresholds were chosen to exclude lidar
152 observations and AMVs from different clouds without reducing the sample size too much.

153 In addition, the height comparison in section 3a applied the criterion that the AMV height
154 and the lidar cloud top must be within 150 hPa vertically to discard values where AMVs and

155 the lidar cloud signal come from clouds at very different heights due to the temporal or
156 horizontal displacement of the observations.

157 For the AMV height correction using lidar observations in section 3b, the pressure
158 difference criterion was replaced by the criterion that the applied height correction is not
159 more than 100 hPa, i.e. the center of the layer that the AMV is shifted to must be within 100
160 hPa from the original AMV height. These criteria are based on the assumption that AMV
161 height errors are usually less than about 100-150 hPa, but were also based on sensitivity
162 studies with different limits and the visual comparison of lidar *BSR* cross-sections and AMV
163 heights. The evaluation of the lidar-AMV height correction was performed with wind
164 observations from the two nearest dropsondes released by the DLR Falcon (one dropsonde
165 for the first and last observations on a flight). For this evaluation, the additional criterion was
166 applied that there is at least one dropsonde within 100 km and 60 min from the AMV and
167 the lidar observation used for the correction.

168 The comparison of AMVs to layer-averaged radiosonde and dropsonde winds in section 4
169 applied the same threshold for temporal and horizontal displacement that was used in
170 section 3 (100 km and 60 min), but the threshold for the CIMSS QI was increased to 70 as the
171 data set was significantly larger and therefore allowed a more rigorous limit. Altogether
172 13,000 matches of AMVs and sounding winds could be used in section 4.

173

174 3. Lidar-AMV height comparison and correction

175 *a. Height comparison*

176 The histogram of height differences between AMVs and lidar cloud tops is shown in Fig. 3.
177 The distribution strongly depends on the AMV type. VIS AMVs are distributed throughout
178 the vertical range of +/- 150 hPa from the lidar cloud top height with more AMVs above than
179 beneath the lidar cloud top. The majority of IR AMVs and nearly all SWIR AMVs in contrast
180 are located beneath the lidar cloud tops. On average, the pressure of VIS AMVs is 19 hPa
181 lower than at the lidar cloud tops, which means that VIS AMVs are on average located higher
182 than the lidar cloud tops. The pressure of IR AMVs is 14 hPa higher than at the lidar cloud
183 top and the pressure of SWIR AMVs is 54 hPa higher.

184 The important question now is where AMVs should be located relative to the lidar cloud
185 top as the AMVs may represent the wind in a layer that is beneath the lidar cloud top. In that
186 case, the AMV height should actually be lower than the lidar cloud top observations. For this
187 reason, we computed the mean VRMS differences between the AMVs used in Fig. 3 and
188 layer-averaged dropsonde winds to aid the interpretation of systematic height differences.
189 Once, the dropsonde wind is averaged over a layer starting at the AMV height and going
190 downward by 50, 100 or 150 hPa (also referred to as comparing or assigning a layer beneath
191 hereafter) and secondly, the wind is averaged over a layer of the same depth centered at the
192 original AMV height. Mean VRMS differences are calculated as the mean of the square-root
193 of the sum of the squared differences of both wind components. Fig. 4 shows the relative
194 reduction of mean VRMS differences when a layer beneath the AMV height is compared
195 instead of a layer of the same depth around the AMV height.

196 For VIS AMVs, the mean dropsonde-AMV VRMS difference is always lower when
197 dropsonde winds are averaged over layers beneath the original AMV height than over layers
198 of the same depth centered at the original AMV height (Fig. 4). The reduction is significant at
199 a 99% confidence level for layer depths of 50, 100 and 150 hPa using a Student's t-test for
200 dependent samples. The largest relative reduction (18%) is reached when dropsonde winds
201 are averaged over a vertical layer of 150 hPa that is beneath the original AMV height instead
202 of a layer centered at the original AMV height. This indicates that VIS AMVs are clearly too
203 high as the center of this layer is 75 hPa beneath the original AMV height and, on average,
204 56 hPa beneath the lidar cloud tops.

205 The differences of SWIR AMVs and dropsondes are also slightly reduced when dropsonde
206 winds are averaged over layers of 100-150 hPa beneath the original AMV height instead of
207 layers around the AMV height although those AMVs are already located 54 hPa beneath
208 lidar cloud tops on average. The AMV-dropsonde differences for IR AMVs is slightly reduced
209 for 50-100 hPa layers beneath the original AMV height, but slightly increased for a 150 hPa
210 layer beneath. However, the reduction (or increase) of mean VRMS differences is generally
211 small and not significant for IR and SWIR AMVs.

212 Overall, we conclude that AMV heights should be located lower than lidar cloud tops. VIS
213 AMVs are on average located 19 hPa above lidar cloud tops, but they appear to represent
214 winds in a layer that is centered 75 hPa lower than their current height and therefore 56 hPa
215 lower than lidar cloud tops. IR and SWIR AMVs are already located 14 and 54 hPa beneath
216 lidar cloud tops, respectively.

217 The relative reduction of AMV-dropsonde mean VRMS differences is even larger when
218 calculated relative to differences at a discrete level, but these values may be misleading as

219 differences are generally smaller for layer-averaged sounding winds than at a discrete level
220 (see section 4 for further discussion on this topic).

221

222 ***b. Height correction***

223 This section describes the correction of AMV heights with airborne lidar cloud top
224 observations and the evaluation of wind differences to dropsondes before and after the
225 height correction. The height correction shifts the AMV wind vertically to a layer relative to
226 the height of a nearby lidar cloud top observations (see section 2 for description of data set
227 and selection criteria). Fig. 5 shows the differences of AMV (VIS, IR and SWIR combined) and
228 dropsonde winds using different layer depths and three different layer positions relative to
229 the lidar cloud top observations for the correction. Mean VRMS differences generally
230 decrease with increasing layer depth. The lowest mean VRMS difference is reached when
231 AMVs are shifted to a layer from the lidar cloud top to 150 hPa beneath or, in other words,
232 when AMV winds are compared to dropsonde winds averaged over a layer from the lidar
233 cloud top to 150 hPa beneath. The bias is less than 0.2 m s^{-1} for all layer depths and position
234 that were tested. AMV winds are on average 0.1 m s^{-1} lower than dropsonde winds when
235 assigned to a distinct level (which corresponds to a layer depth of 0 hPa in Fig. 5) of the lidar
236 cloud top observation and about 0.1 m s^{-1} higher when assigned to a 100-150 hPa deep layer
237 beneath the lidar cloud top. Results for the individual channels (VIS, IR and SWIR, not shown)
238 are similar and in general it seems best to assign AMVs to 100-150 hPa deep layers beneath
239 the lidar cloud.

240 Fig. 6 shows the relative improvement, i.e. relative reduction of mean VRMS differences
241 between AMV and dropsonde winds for assigning AMV winds to a layer of 100 or 150 hPa

242 beneath the lidar cloud top observation instead of a layer of the same depth centered at
243 their original height. On average, this height correction reduces VRMS differences by about
244 8%. Using 150 hPa layers, VRMS differences are reduced for all channels. The reduction is
245 significant at the 99% confidence level for the whole data set, at the 95% confidence level
246 for the SWIR AMV subset and at the 90% confidence level for the VIS AMV subset. The
247 reduction of the IR AMV subset is not significant.

248 Using 100 hPa layers, there is a slight deterioration of SWIR AMV subset with the lidar-
249 AMV height correction, but the deterioration is not statistically significant. The average
250 improvement with 100 hPa layers is similar to the results with 150 hPa and the reduction is
251 significant at a 95% confidence level. The VRMS reduction of the VIS AMV subset is even
252 larger with 100 hPa layers and significant at the 95% confidence level. IR AMVs are also
253 improved with the lidar-AMV height correction using 100 hPa layers, but results are not
254 significant.

255

256 **4. Comparing AMVs to layer-averaged radiosonde and dropsonde winds**

257 Section 3a suggests that the error of VIS AMVs is substantially reduced when they are
258 assigned to a layer beneath their original height and section 3b demonstrates that the error
259 of AMVs in all channels is reduced when a layer beneath lidar cloud top observations is
260 assigned to them. These results motivated a further investigation of how deep the
261 atmospheric layer is that AMVs represent and how this layer should be positioned vertically.
262 To increase the sample size, this section uses dropsondes from all four T-PARC aircraft and
263 also special T-PARC radiosondes. The use of radiosondes also allows comparing AMVs at
264 altitudes of 100-500 hPa, whereas the section 3 only uses AMVs beneath 500 hPa.

265 VB2009 already compared VRMS differences between AMV winds and layer-averaged
266 sounding winds for layers of different depth from the AMV height downward. Their results
267 suggest that the treatment of AMVs as layer-averaged winds beneath their original height in
268 data assimilation could lead to a significant improvement. This section intends to
269 complement the study of VB2009 by testing different positions of the layer relative to the
270 original AMV height and by investigating the effect on the wind speed bias in addition to
271 mean VRMS differences.

272 Assigning a layer beneath the original AMV height would result in a systematic height
273 reduction and may therefore also introduce systematic wind errors in case the height was
274 correct or too low before. A larger averaging volume additionally leads to lower wind
275 speeds. As data assimilation systems are particularly sensitive to systematic errors, we
276 investigate the wind speed bias in addition to VRMS differences and we shift the averaging
277 layers from 50 or 100 hPa above the AMV height to 100 hPa beneath. In case the averaging
278 layer would be beneath or partly beneath the ground, we use the lowest possible layer
279 instead. The intention for shifting the layer is to find the optimal position of the layer relative
280 to the AMV height and also to detect if the reduction of the difference is due to
281 compensating systematic height errors by extending the layer to the correct height of the
282 AMV wind or to the fact that AMVs really represent a layer wind.

283 The VRMS differences and the wind speed bias between AMV winds and layer-averaged
284 sounding winds is shown in Fig. 7 for different layer depths (different line types) and as a
285 function of the vertical offset of the center of the averaging layer relative to the AMV height.
286 The line type with the lowest minimum indicates the optimal (or appropriate) layer depth
287 concerning mean VRMS or wind speed bias and the position of this minimum on the x-axis
288 the optimal (or appropriate) position of this layer relative to the AMV height. The fact that

289 the line with the lowest VRMS minimum is also the one with the lowest VRMS value at
290 $x=0$ hPa in all panels indicates that the compensation of systematic height errors is not the
291 main effect for the VRMS reduction.

292 The results for IR AMVs above 499 hPa indicate that the lowest mean VRMS difference is
293 reached when these AMV assigned to a 100 hPa layer centered ~ 20 hPa beneath their
294 original height. Deeper or shallower layers both lead to larger differences. However, a 50
295 hPa layer centered 16 hPa beneath the original AMV height may be the best choice in case a
296 low wind speed bias is particularly important.

297 AMVs beneath 500 hPa (Figs. 7b-f) generally show a less distinct minimum of VRMS
298 differences, presumably due to lower vertical wind gradients at lower levels. The lowest
299 mean VRMS differences are generally reached for the deepest layer that is shown, i.e. for
300 200 hPa. Averaging over these layers however leads to an increase of the wind speed bias
301 that may not be desirable for data assimilation purposes. Thus, the choice of the optimal
302 (appropriate) layer e.g. for data assimilation purposes is to some extent a trade-off between
303 mean VRMS and bias.

304 The mean VRMS difference is systematically reduced by $0.2-0.4 \text{ m s}^{-1}$ when AMVs are
305 assigned to a 100 hPa layer centered at their original height instead of a 10 hPa layer
306 centered at that height (upper part in Table 1). Assigning such a layer also does not seem to
307 lead to a significant increase of the wind speed bias. Table 1 also lists the mean VRMS and
308 bias difference for one subjectively chosen optimal layer for every panel of Fig. 7 (lower part
309 in Table 1). 150 and 200 hPa layers were not selected due to the increase of the wind speed
310 bias mentioned above. It is notable that these optimal layers are sometimes centered above
311 and sometimes beneath the original AMV height in contrast to the assumption of VB2009

312 that layers beneath the AMV level are appropriate. The results in Fig. 7 suggest that a layer
313 centered at the AMV height may be the best choice for all IR AMVs and for VIS and SWIR
314 AMVs above 799 hPa. Only for VIS and SWIR AMVs beneath 800 hPa, there is indication that
315 assigning a 100 hPa layer beneath the original AMV height is more appropriate as both the
316 mean VRMS and bias are reduced. For VIS AMVs beneath 800 hPa such a layer beneath the
317 original height even leads to lower VRMS differences than a layer at the original AMV height
318 while the wind speed bias is about the same. For SWIR AMVs beneath 800 hPa, mean VRMS
319 and bias for the layer beneath are comparable to the values for the layer centered at the
320 original AMV height.

321

322 **5. Discussion and summary**

323 This study compares lower tropospheric AMV heights with airborne lidar cloud top
324 observations, corrects AMV heights with these lidar cloud top observations and investigates
325 if AMVs rather represent winds in a layer instead of at a distinct level. The field campaign
326 T-PARC in the western North Pacific offered a unique opportunity for such an investigation
327 as it provided hourly MTSAT AMVs produced by CIMSS, airborne lidar observations from 24
328 flights of the DLR Falcon, more than 300 dropsondes from the same flights for an
329 independent evaluation of the AMV height correction using lidar observations and several
330 thousand additional soundings for the comparison of AMVs to layer-averaged winds. The
331 lidar-AMV height comparison and correction are limited to AMVs beneath 500 hPa due to
332 the aircraft flight altitude, whereas the layer comparison also includes AMVs up to 100 hPa.

333 The height comparison of lidar cloud top observations and lower tropospheric AMVs
334 revealed that T-PARC VIS AMVs were on average 19 hPa above the lidar cloud tops. This is

335 consistent with the findings of Di Michele et al. 2012 that low-level AMV heights are on
336 average higher than cloud tops derived from CALIPSO. IR and SWIR AMVs in contrast were
337 located 14 and 54 hPa beneath lidar cloud tops, respectively. The comparison of the same
338 VIS AMVs to nearby dropsonde winds indicated that VIS AMVs are substantially too high
339 while no clear evidence for a height offset was found for IR and SWIR AMVs. We therefore
340 conclude that AMV heights should actually be lower than lidar cloud top observations.

341 As next step, AMVs were vertically reassigned to layers of different depth and different
342 position relative to nearby lidar cloud top observations. The best match of AMV and
343 dropsonde winds was found when AMVs were assigned to 100 or 150 hPa deep layers
344 beneath the lidar cloud tops. Such a height correction reduced the mean VRMS differences
345 between AMVs and dropsonde observations by 8% in comparison to assigning AMV winds to
346 a layer of the same depth centered at the original AMV height.

347 For VIS AMVs, an even larger reduction of VRMS differences to dropsonde winds by 18%
348 was reached when AMVs were assigned to a 150 hPa layer beneath the original AMV
349 heights. However, such a correction may not lead to same result for other AMV data sets as
350 systematic errors strongly depend on the processing, satellite, geographic region and other
351 factors. The lidar cloud top observations in contrast are independent of the original AMV
352 height assignment. Therefore, the lidar correction can be expected to be applicable to other
353 data sets (e.g. using CALIPSO satellite lidar observations) and in addition to the error
354 reduction it can be expected that the horizontal error correlation of AMVs is reduced by
355 incorporating such an independent data set.

356 The second part of the study compares AMV winds to layer-averaged radiosonde and
357 dropsonde winds from T-PARC. Several layers of different depth are tested and these layers

358 are shifted from above to beneath the AMV to investigate the depth of the layer that AMV
359 winds represent and the appropriate position of such a layer relative to the original AMV
360 height. It is found that the VRMS differences are reduced by 5-10% when AMVs are assigned
361 to a 100 hPa layer centered at their original height in comparison to a 10 hPa layer. Layer
362 depths of 150-200 hPa lead to a slight further reduction of VRMS errors, but also tend to
363 increase the bias of AMV-dropsonde wind speed differences. In general, it is not completely
364 clear how this layer should be positioned relative to the original AMV height – and this may
365 also depend on the individual data set. As best guess based on the results of this study, we
366 suggest assigning a 100 hPa layer centered at the AMV height to all IR AMVs and to VIS and
367 SWIR AMVs above 800 hPa. For low-level VIS and SWIR AMVs in contrast, there is indication
368 that it is more appropriate to assign a 100 hPa layer beneath the original AMV height.

369 In summary, we conclude that AMV errors could be reduced by about 5-10% when AMVs
370 were assigned to 100 hPa layers centered at their original height. Further error reduction by
371 5-10% could be reached when lower tropospheric AMVs were assigned to a 100 or 150 hPa
372 layer beneath nearby airborne lidar cloud top observations. However, it should be noted
373 that our study only uses AMVs derived with a particular algorithm and results may differ to
374 some extent for other AMV data sets. The height correction with lidar observations is also
375 expected to be applicable using satellite observations, but determining the height of semi-
376 transparent cirrus clouds from lidar observations is expected to be more difficult than the
377 ones of opaque water clouds that were dominant in this study.

378 Our findings generally confirm that AMVs rather represent winds in a tropospheric layer
379 than at a discrete level as demonstrated by VB2009 and emphasizes that AMVs should be
380 assimilated as layer-wind in NWP models. Depending on the AMV channel and the
381 geographical region, VB2009 suggest 50-150 hPa as appropriate layer depth for VIS, IR and

382 SWIR AMVs, which is on average similar to our findings despite the different data set and
383 methodology. In addition to VB2009, we demonstrated that treating AMVs as layer winds
384 has no negative effect on systematic errors unless the averaging layers are significantly
385 thicker than 100 hPa. One difference is that our study suggests optimal averaging layers
386 centered at the original AMV height for upper- and mid-level AMVs, whereas VB2009 only
387 considered layers from the original AMV downward. Based on our findings, such layers
388 beneath the original height may be appropriate for low-level AMVs, but tend to increase
389 systematic errors of AMVs above 800 hPa.

390

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	Difference of mean VRMS	Difference of absolute bias
IR, 100-499 hPa, 100 hPa layer centered at AMV	-0,36	-0,42
IR, 500-999 hPa, 100 hPa layer centered at AMV	-0,29	0,11
SWIR, 500-799 hPa, 100 hPa layer centered at AMV	-0,21	0,1
SWIR, 800-999 hPa, 100 hPa layer centered at AMV	-0,42	-0,09
VIS, 500-799 hPa, 100 hPa layer centered at AMV	-0,21	0,07
VIS, 800-999 hPa, 100 hPa layer centered at AMV	-0,24	-0,04
IR, 100-499 hPa, 50 hPa layer centered 16 hPa beneath AMV	-0,49	-0,5
IR, 500-999 hPa, 100 hPa layer centered 50 hPa above	-0,16	-0,08
SWIR, 500-799 hPa, 100 hPa layer centered 40 hPa above	-0,2	-0,38
SWIR, 800-999 hPa, 100 hPa layer centered 50 hPa beneath	-0,4	-0,11
VIS, 500-799 hPa	no suitable layer found	
VIS, 800-999 hPa, 100 hPa layer centered 50 hPa beneath	-0,44	-0,03

446

447 TABLE 1. Reduction of mean VRMS and wind speed bias (both m s^{-1}) of differences between AMV and
448 sounding winds when sounding winds are averaged over a layer described in the left column instead
449 of a 10 hPa layer centered at the original AMV height. Negative values indicate lower values for the
450 layer described in left column. The upper part of the table presents the results for 100 hPa layers
451 centered at the original AMV height, the lower part the results for one other selected layer for every
452 panel in Fig. 7.

453

454 **Figure captions**

455 FIG. 1. (left) Location of airborne Falcon observations used in section 3; lidar observations
456 are represented by gray lines and dropsondes by black '+'-symbols; (right) location of
457 sounding used in section 4; black '+'-symbols mark the location of dropsondes, circles mark
458 special T-PARC radiosondes from ships or small islands. The size of circles representing
459 sounding stations with more than 500 AMV matches used for the comparison is scaled
460 linearly by the number of matches; the largest circle represents 1221 matches.

461 FIG. 2. (a) Height distribution of AMVs used for the lidar-AMV height comparison in section
462 3a. (b) Height distribution of AMVs used for the height correction in section 3b.

463 FIG. 3. Histogram of height differences (hPa) between AMVs and lidar cloud top heights.
464 Positive values indicate AMV heights that are lower than lidar cloud top heights.

465 FIG. 4. Relative reduction of mean AMV-dropsonde VRMS difference when AMVs are
466 compared to a layer beneath the original AMV height instead of a layer centered at the
467 original AMV height. The first three bars represent results for 50 hPa deep layers, the middle
468 three bars for 100 hPa layers and the right three bars for 150 hPa layers.

469 FIG. 5. Mean VRMS and wind speed bias of differences between AMVs (VIS, IR and SWIR
470 combined) and dropsondes when AMVs are assigned to a layer relative to nearby lidar cloud
471 top observations. The x-axis denotes the depth of the assigned layer. The three different line
472 types denote layers centered at the lidar cloud top (black dashed line), layers from the lidar
473 cloud top downward (solid black line) and layers with 25% above and 75% beneath the lidar
474 cloud top (solid gray line).

475 FIG. 6. Relative reduction of mean VRMS differences between AMV and dropsonde winds
476 when AMVs are assigned to a layer beneath the lidar cloud top instead of a layer centered at
477 the original AMV height. The depth of the layer is 100 hPa for the left bars and 150 hPa for
478 the right ones.

479 FIG. 7. Mean VRMS and wind speed bias of differences between AMV winds and layer-
480 averaged winds from dropsondes and radiosondes. The panel titles denote the AMV type
481 (VIS, SWIR or IR), the height range of compared values in hPa and the number of compared
482 values. Different line types represent different layer depths for the vertical averaging of
483 dropsonde and radiosonde winds: gray dashed line for 10 hPa, gray solid line for 50 hPa,
484 black dashed line for 100 hPa, black dash-dotted line for 150 hPa (panel (a) only) and black
485 solid line for 200 hPa (panels (b)-(f)). Note that the scales for bias and mean VRMS values are
486 different.

487

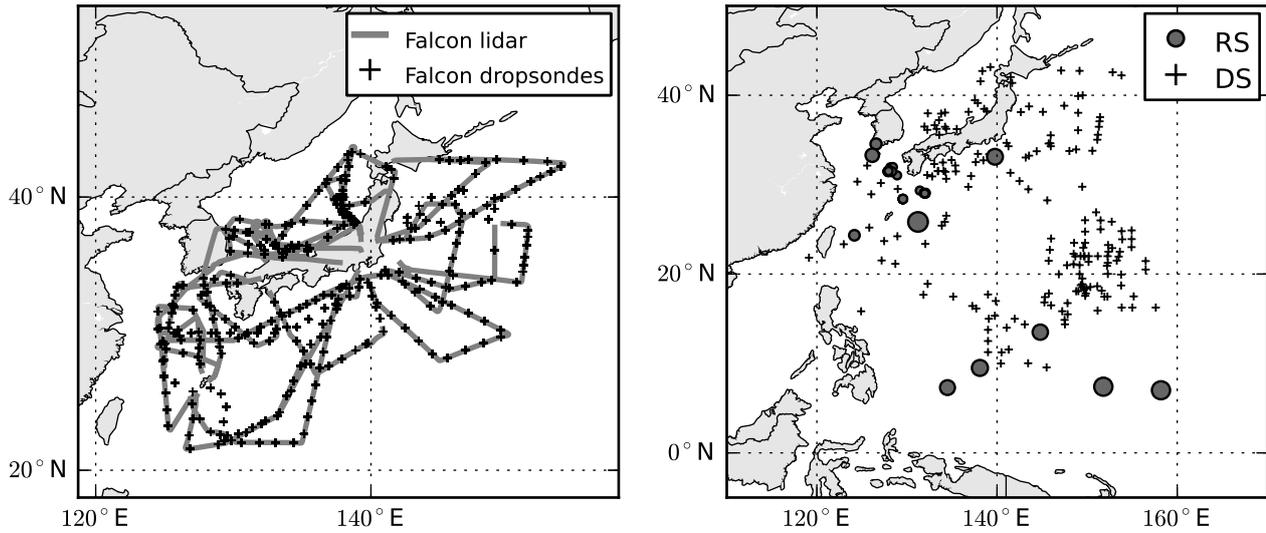


FIG. 1. (left) Location of airborne Falcon observations used in section 3; lidar observations are represented by gray lines and dropsondes by black '+'-symbols; (right) location of sounding used in section 4; black '+'-symbols mark the location of dropsondes, circles mark special T-PARC radiosondes from ships or small islands. The size of circles representing sounding stations with more than 500 AMV matches used for the comparison is scaled linearly by the number of matches; the largest circle represents 1221 matches.

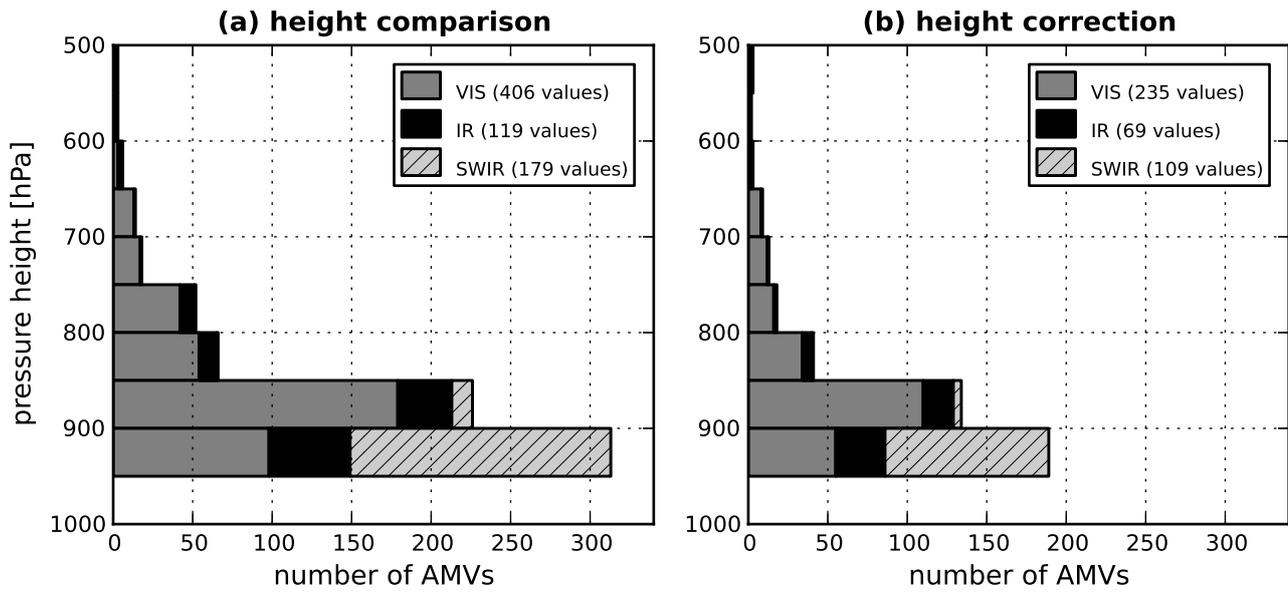


FIG. 2. (a) Height distribution of AMVs used for the lidar-AMV height comparison in section 3a. (b) Height distribution of AMVs used for the height correction in section 3b.

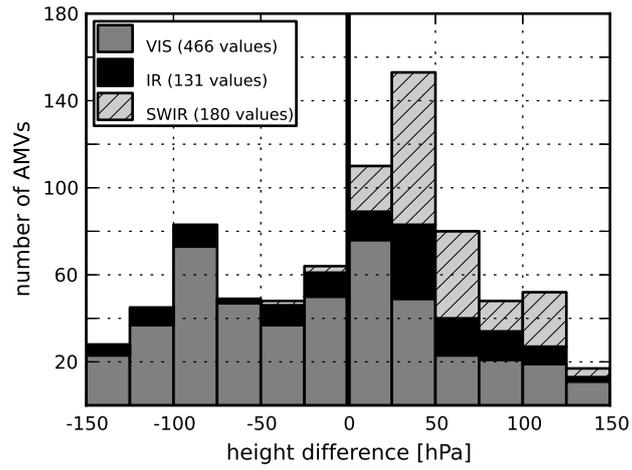


FIG. 3. Histogram of height differences (hPa) between AMVs and lidar cloud top heights. Positive values indicate AMV heights that are lower than lidar cloud top heights.

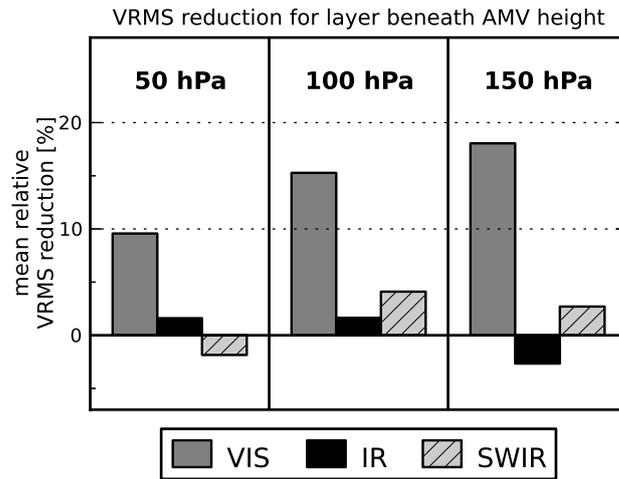


FIG. 4. Relative reduction of mean AMV-dropsonde VRMS difference when AMVs are compared to a layer beneath the original AMV height instead of a layer centered at the original AMV height. The first three bars represent results for 50 hPa deep layers, the middle three bars for 100 hPa layers and the right three bars for 150 hPa layers.

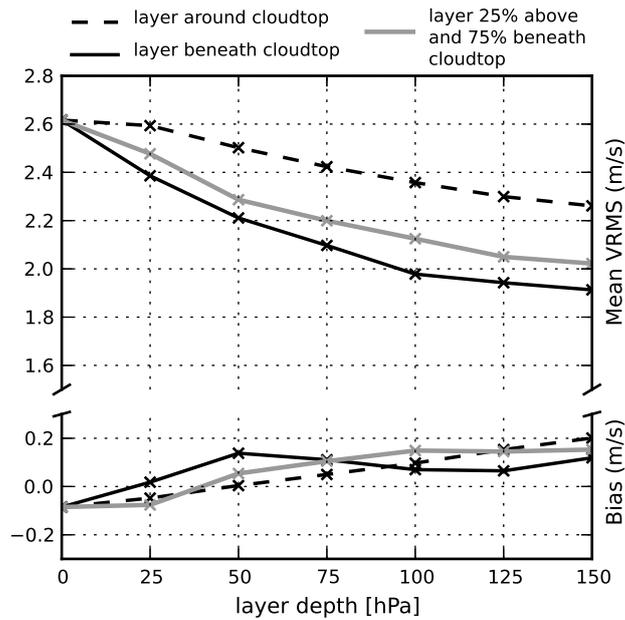


FIG. 5. Mean VRMS and wind speed bias of differences between AMVs (VIS, IR and SWIR combined) and dropsondes when AMVs are assigned to a layer relative to nearby lidar cloud top observations. The x-axis denotes the depth of the assigned layer. The three different line types denote layers centered at the lidar cloud top (black dashed line), layers from the lidar cloud top downward (solid black line) and layers with 25% above and 75% beneath the lidar cloud top (solid gray line).

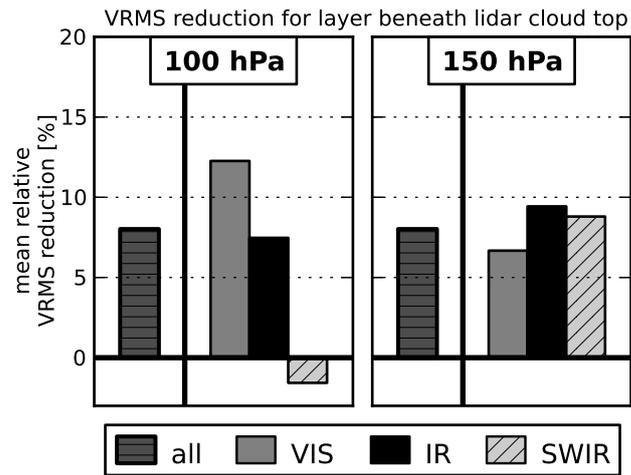


FIG. 6. Relative reduction of mean VRMS differences between AMV and dropsonde winds when AMVs are assigned to a layer beneath the lidar cloud top instead of a layer centered at the original AMV height. The depth of the layer is 100 hPa for the left bars and 150 hPa for the right ones.

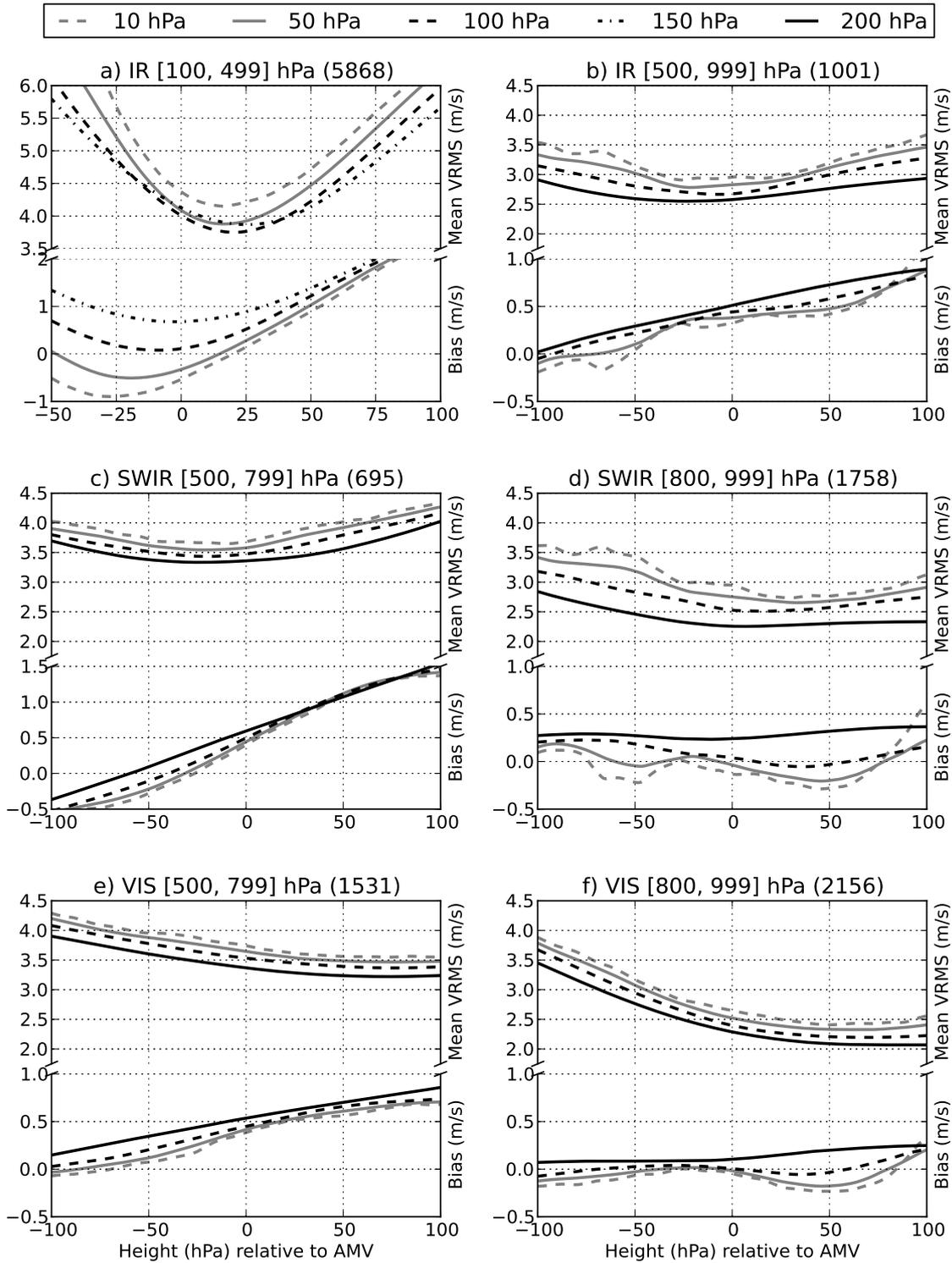


FIG. 7. Mean VRMS and wind speed bias of differences between AMV winds and layer-averaged winds from dropsondes and radiosondes. The panel titles denote the AMV type (VIS, SWIR or IR), the height range of compared values in hPa and the number of compared values. Different line types represent different layer depths for the vertical averaging of dropsonde and radiosonde winds: gray dashed line for 10 hPa, gray solid line for 50 hPa, black dashed line for 100 hPa, black dash-dotted line for 150 hPa (panel (a) only) and black solid line for 200 hPa (panels (b)-(f)). Note that the scales for bias and mean VRMS values are different.